



# Experimentation Support to Innovation and Transformation

Richard E. Hayes, Ph.D.  
President, Evidence Based Research, Inc.  
Senior Scientist, Command and Control Research Program (OASD/NII)  
[rehayes@ebrinc.com](mailto:rehayes@ebrinc.com)  
(703) 893-6800



# Introduction and Outline

- Sampling the operating environment
- Industrial Age vs. Information Age Approaches
- Mission Capability Packages
- Experimentation and Campaigns of Experimentation
- Illustrative Examples
- Ideal vs. Transformational Experiments
- Key Dimensions of Command and Control Approach



# Scenarios in Transformation Experimentation

## Sampling the Operating Environment



### Mechanism of Engagement

Conflict ← → Cooperation

Use of Military Force	Policing/ Monitoring	Supporting Civilian Missions
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### Situation Type

Nation States
Sub-National Actors
Organizations
Individuals/ Networks
Systemic Challenges

Use of Military Force	Policing/ Monitoring	Supporting Civilian Missions
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Traditional Military
Coalition Restricted Rules of Engagement
Coalition/ Interagency Support to Civilian Operations

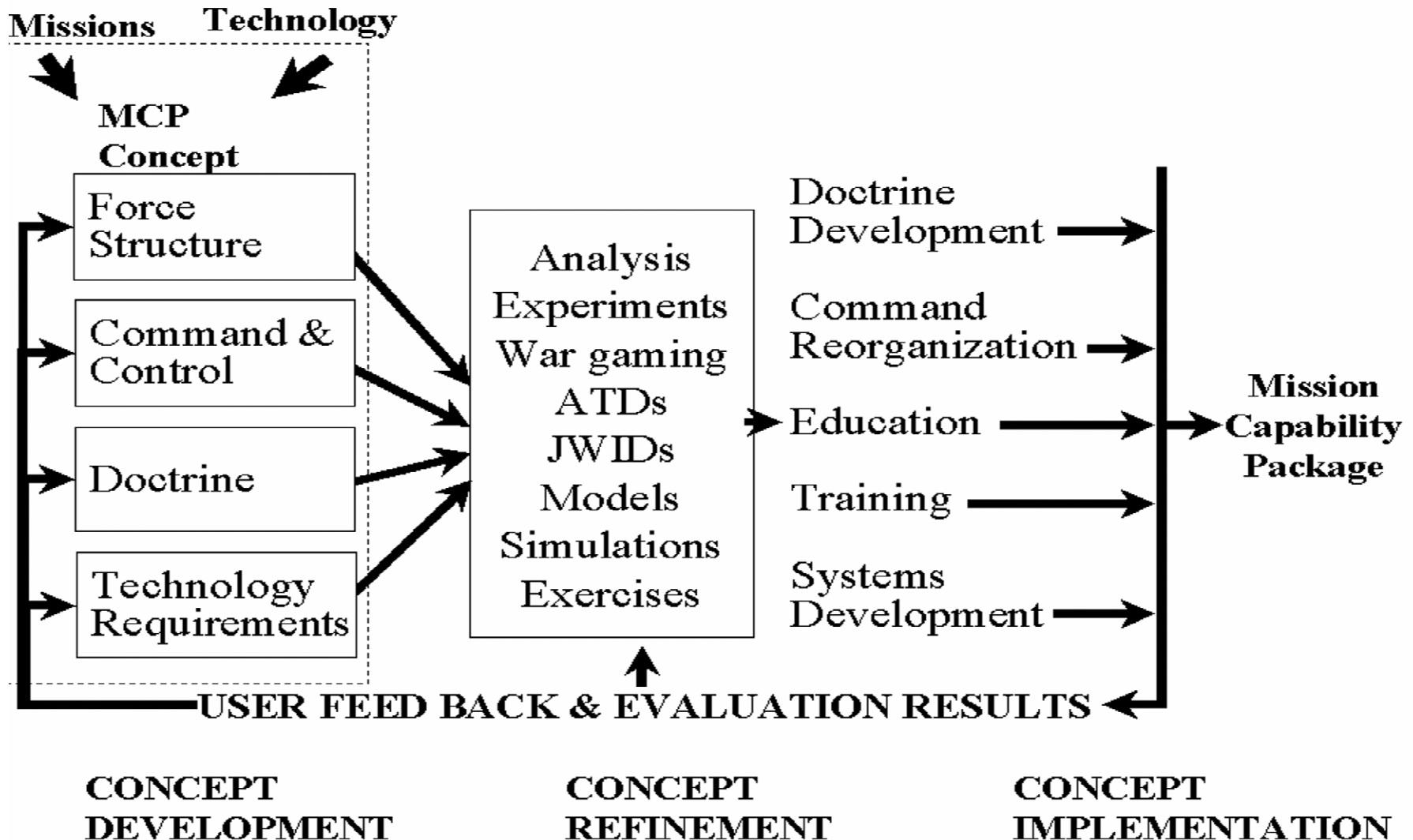


# Industrial Age vs. Information Age Mindset

	<b>Industrial Age</b>	<b>Information Age</b>
<b>Dealing with the future</b>	Predict/Plan Perfect Tasks	Prepare/Adapt Develop Agility
<b>Developing Capabilities</b>	Define Requirements Engineer Insert Technology Test Systems Applications centric	Experiment Grow Co-evolve MCPs Assess Operations Data Centric
<b>Command...</b> <b>Control...</b>	Do what I tell you Synchronize Control Constrain Subordinates Staff	Do what makes sense Self-synchronize Converge Enable Subordinates Collaborate
<b>Dealing with Information</b>	Push Use & Distribute Server-Client	Pull Post in Parallel Peer to Peer

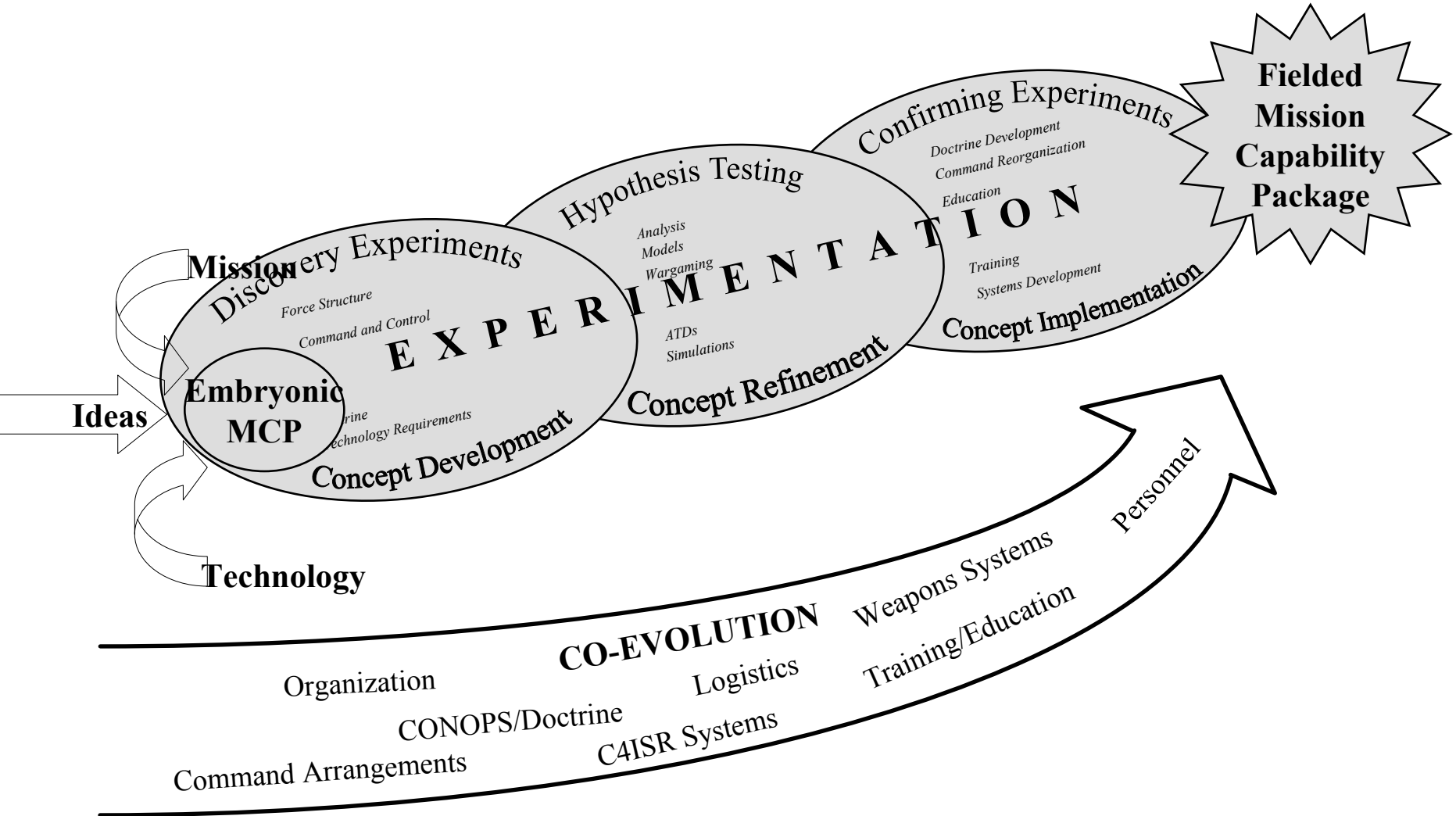


# Mission Capability Packages





# Mission Capability Package Creation



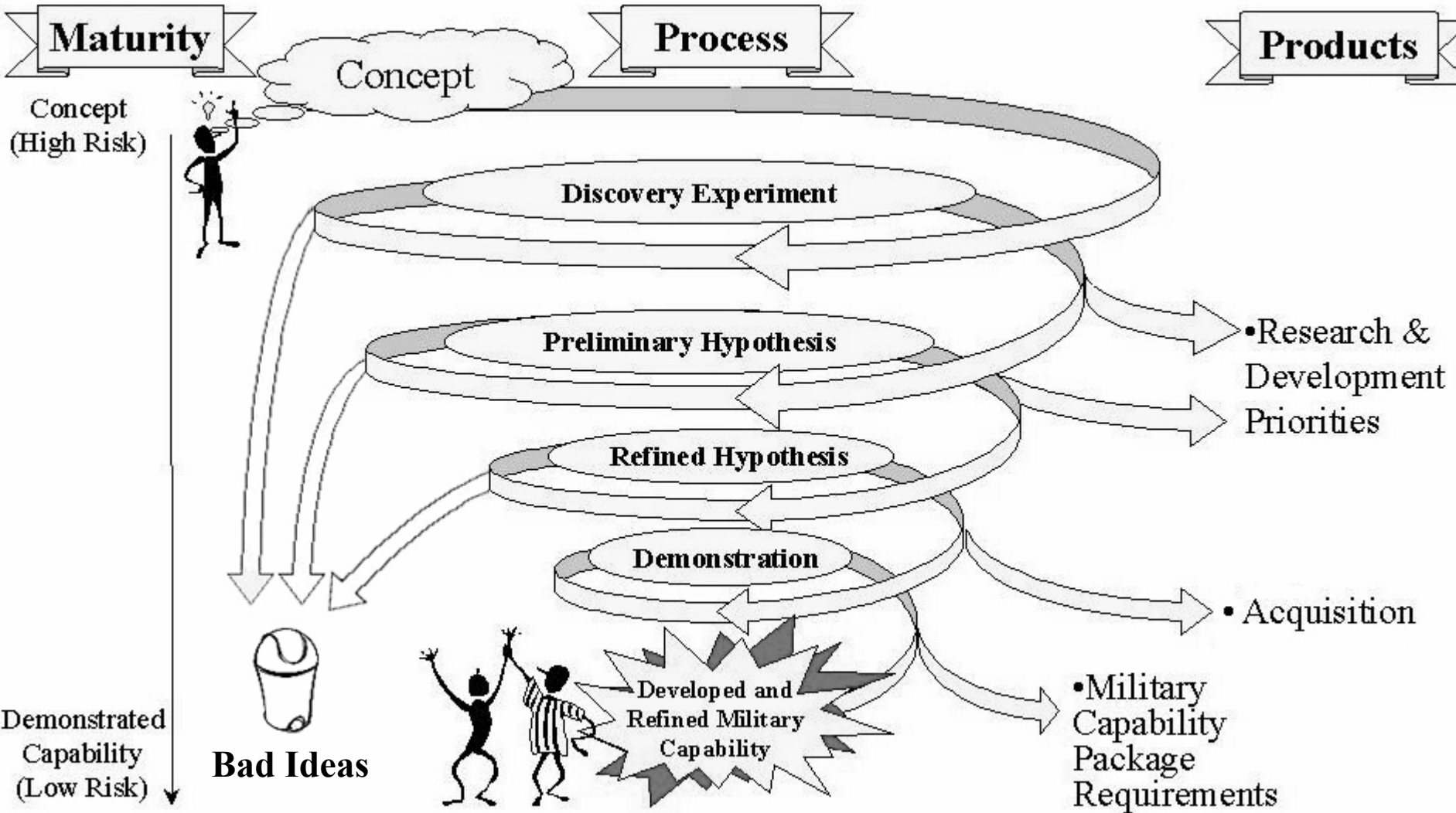


# Criteria for Good Experiments

- Experiments have a clear focus, based on existing knowledge, they are structured, valid, reliable and credible
- Excludes, or controls for, all relevant extraneous or intervening variables
- Manipulates only one independent variable at a time- the dimensions of the variable are measured
- Involves valid, reliable, precise, and credible measurement of all variables
- Generates findings, interpretations, and insights



# Experimentation: From Theory to Practice







# CPOF Experimental Campaign:

## Co-evolution of concepts and experiments



**Type of Experiment**

**Type of Knowledge Representation**

**Level of Concept Understanding**

Discovery Experiments (TDG)

Conceptual

Immature

- Identifies important factors
- Enables process classification

Preliminary Hypotheses Experiments (TD)

Explanatory

- Describes cause and effect
- Explains how different factors interact

Refined Hypothesis Experiments (LOE)

Predictive

- Estimates values of some factors given values of others

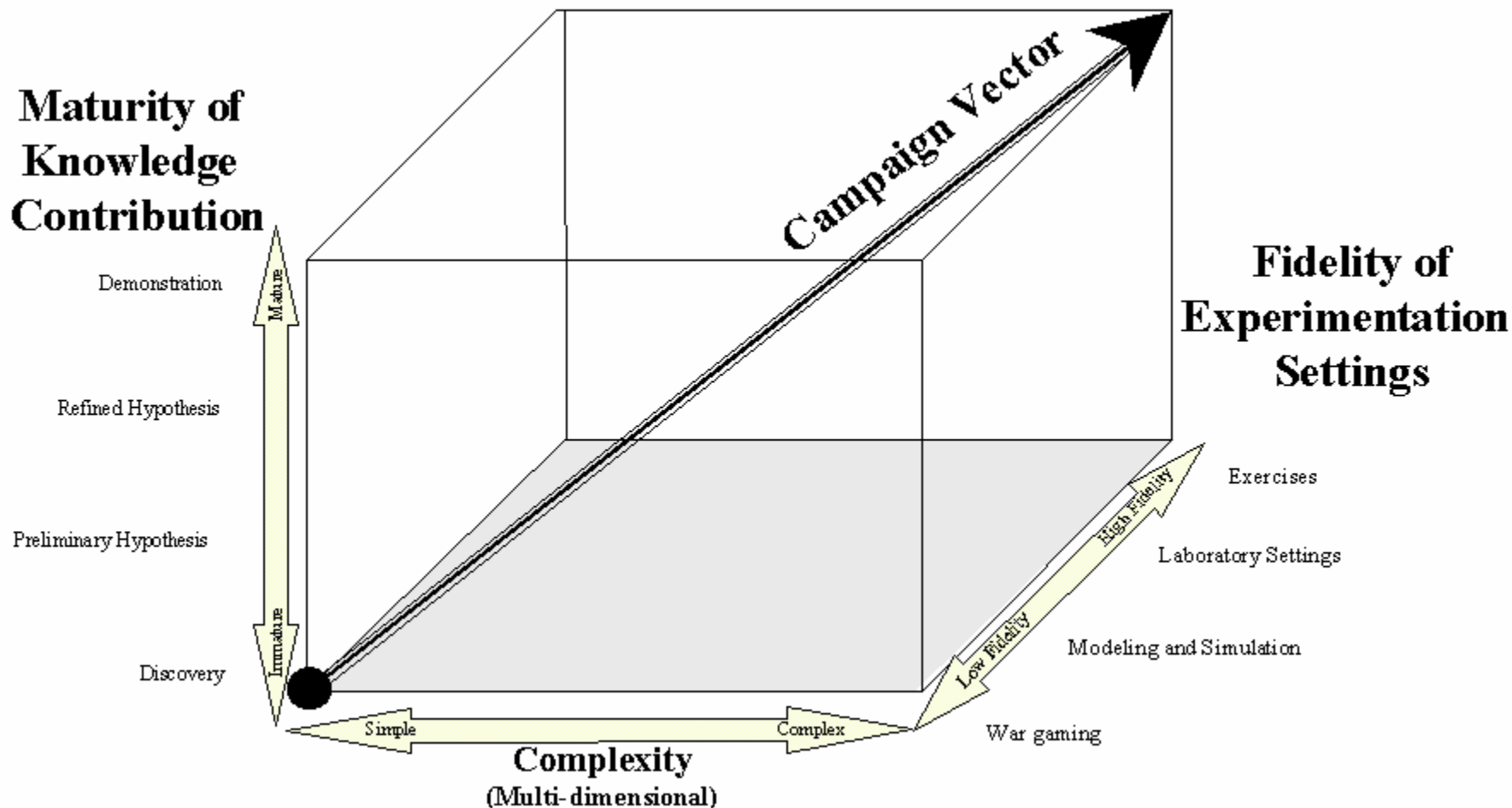
Demonstration Experiments (CE)

Mature

Model develops incrementally as part of concept development process



# The Experimentation Campaign Space





# Definitions Of Experimentation

- Purpose of Experimentation: “To determine the efficacy of something previously untried,” “to examine the validity of an hypothesis,” or “to demonstrate known truth.”
- Always empirical
- Campaigns of experiments build knowledge
- Results of poor transformation experiments
  - Money will be wasted
  - Lives will be at risk
  - Better ideas will be lost
  - Progress will be delayed



## Illustrative Examples

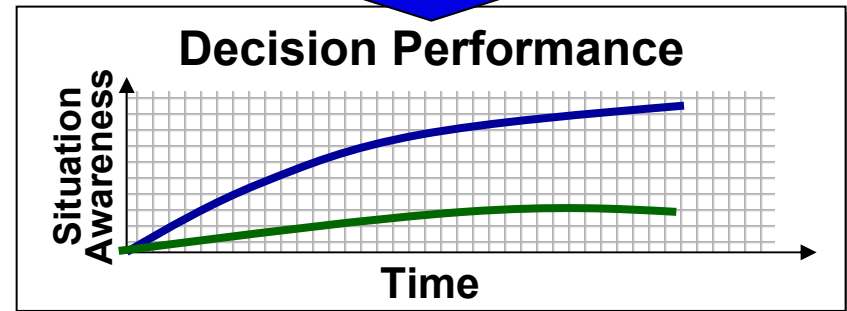
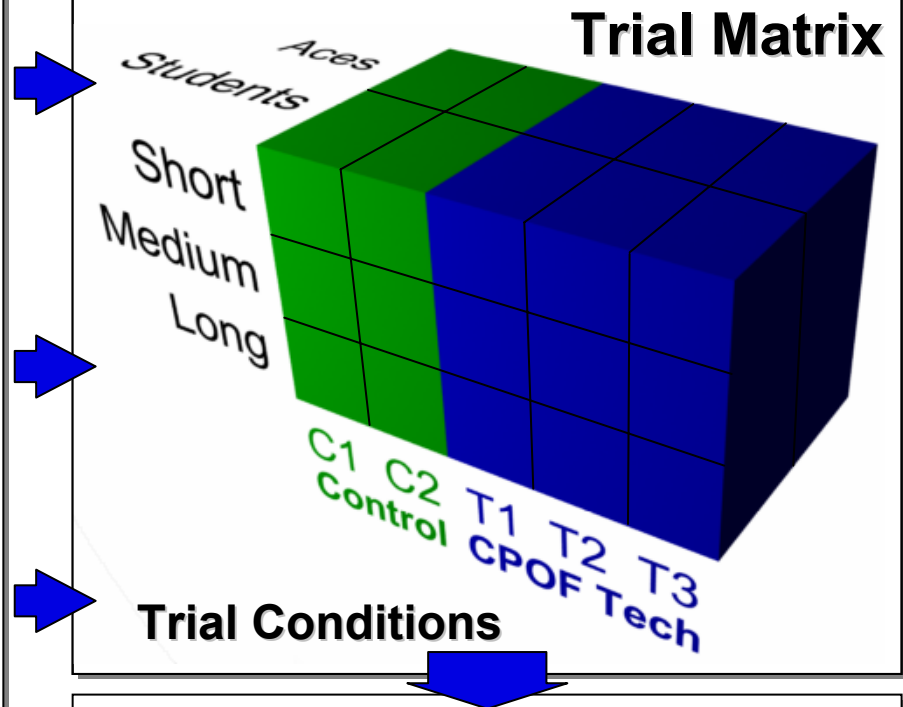
- Command Post of the Future (DARPA)
- JFCOM Limited Objective Experiments
- Millennium Challenge 02
- Navy Fleet Battle Experiments Alpha to Kilo
- Army's Future Combat System Experiments

## Hypotheses

**Objective:** Increase decision speed & quality

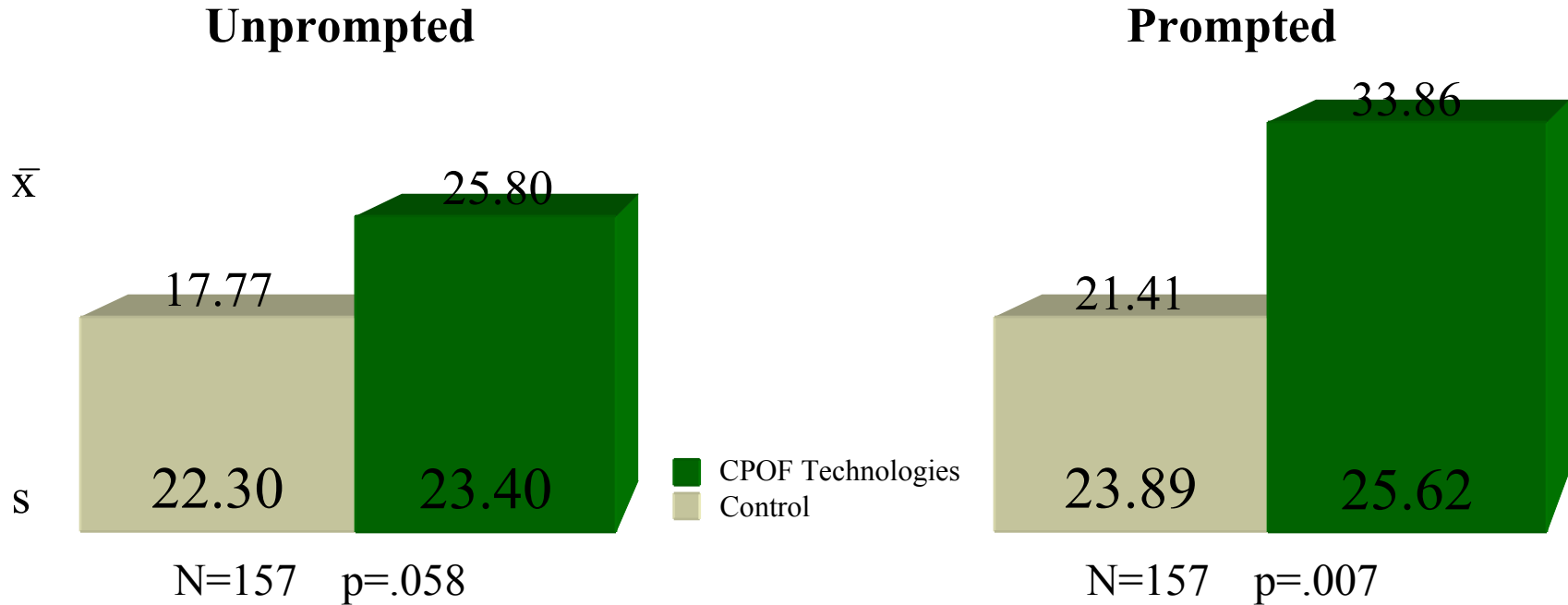
- **H1: Improve Situation Awareness**
  - H1.1: Situation Awareness Acquisition
  - H1.2: Uncertainty in Situation Awareness
  - H1.3: Situation Awareness projection into the near term
  - H1.4: Situation Awareness monitoring and updating
  - H1.5: Anomaly detection with respect to execution
- **H2: Improve COA Generation and capture**
  - H2.1: Natural input modalities
  - H2.2: Assumption, constraint, opportunity, and failure mode highlighting
- **H3: Improve COA Selection**
  - H3.1: Mission understanding
  - H3.2: Single COA comprehension
  - H3.3: Multiple COA comparison
- **H4: Improve COA communication**
  - H4.1: Communication dialogs
  - H4.2: Clarity of commander's intent
  - H4.3: Complimentary understanding

## The Experiment





# CPOF Technologies Significantly Outperform Control in Overall Scores



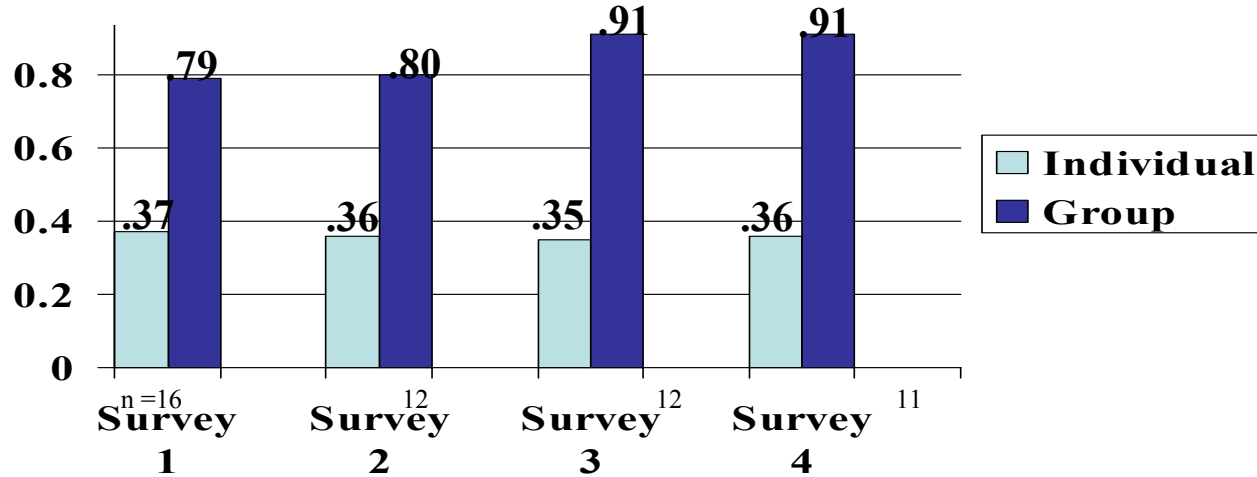
## Interpretation

- CPOF Technologies generated:
  - *Better situation awareness* (higher mean or  $\bar{x}$ )
  - *CPOF Technologies performance improves for prompted*



# Millennium Challenge 02

## Individual/Group SA Scores During MC02



### • Participation

- Individuals: Principle staff function positions
- Group: Results based on JCB and Commander's Update briefings

### • Findings

- Individual situation awareness consistent over the four surveys
- Group scores consistently much higher than individual scores

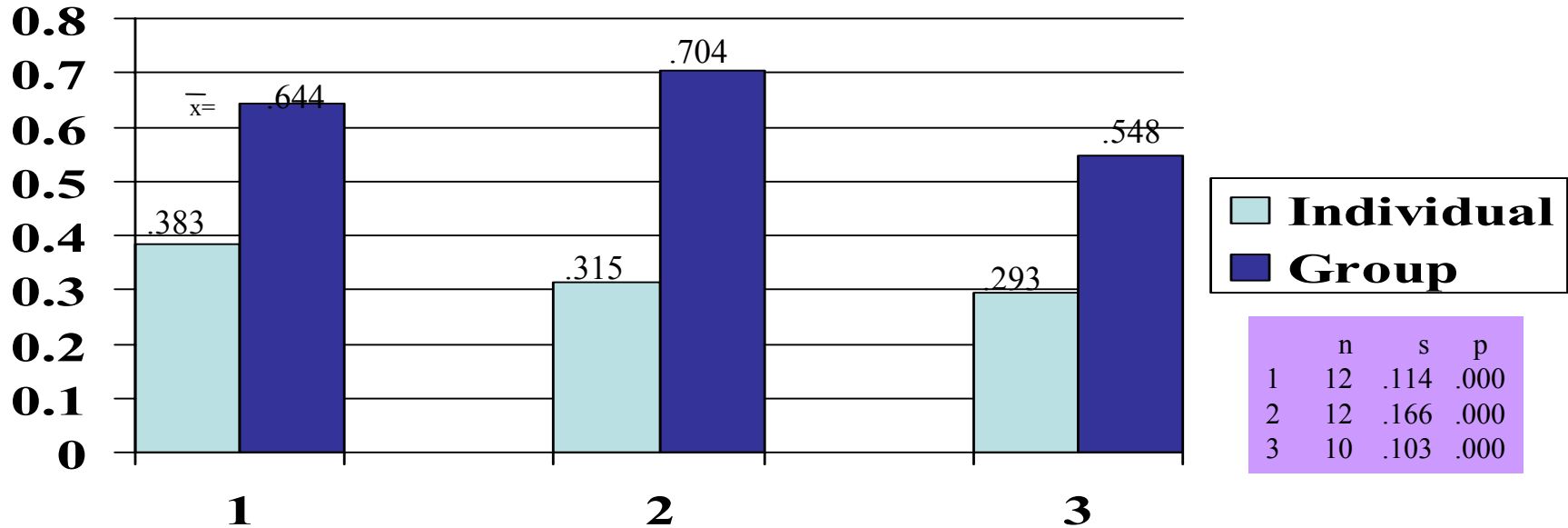
### • Interpretation

- No learning curve for individuals
- Individuals have only a partial awareness.
- Comparison of group and individual scores indication of positive effect of collaboration



# JFCOM Presentation LOE

## Individual VS Group SA Scores By Group



### Findings

Group Briefings sorted by group scored significantly higher than prompted individuals on Situation Assessment

### Interpretation

Collaboration required to generate an integrated briefing creates richer situation assessments than those held by individuals on the team,  
Suggests collaborative processes enrich Situation Assessment





# Ideal Versus Transformational Experimentation

- Ideal experimentation (The gold standard)
  - Tests well formed hypothesis and proposition
  - Controls explicitly all independent and intervening variables
  - Has clarity in outcomes and measurement
- Transformational experimentation (The reality)
  - Finite resources
  - Has unexpected consequences
  - Necessarily involves “messy” data and circumstances
- Two major differences between Transformational experimentation and Ideal experimentation
  - Transformation will not be accomplished by small, isolated changes
  - The pace of change required for DoD transformation will not allow for a large number of sequential experiments designed explore every nook and cranny of possible alternatives



# Key Dimensions of Command and Control Approach

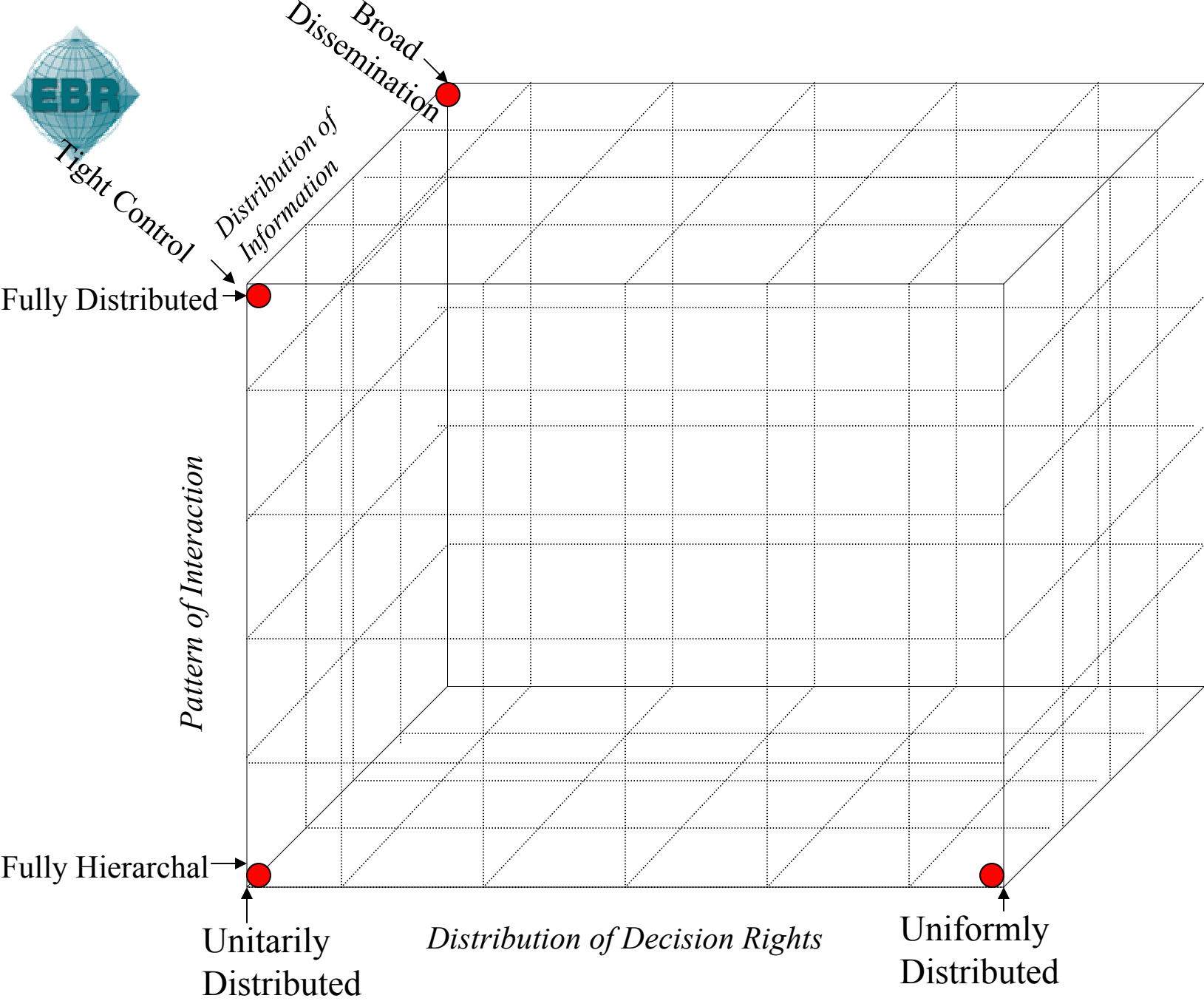


## Essential Dimensions of C2 Approach

Dynamics across purpose  
Dynamics across time

### Constraints (“Allowances”)

- Allocation of Decision Rights
  - Explicit
  - Implicit
- Patterns of Interactions
- Distribution of Information
  - Ownership
  - Access





Tight Control

Distribution of Information

Broad Dissemination

Edge Organizations



Fully Distributed

Pattern of Interaction

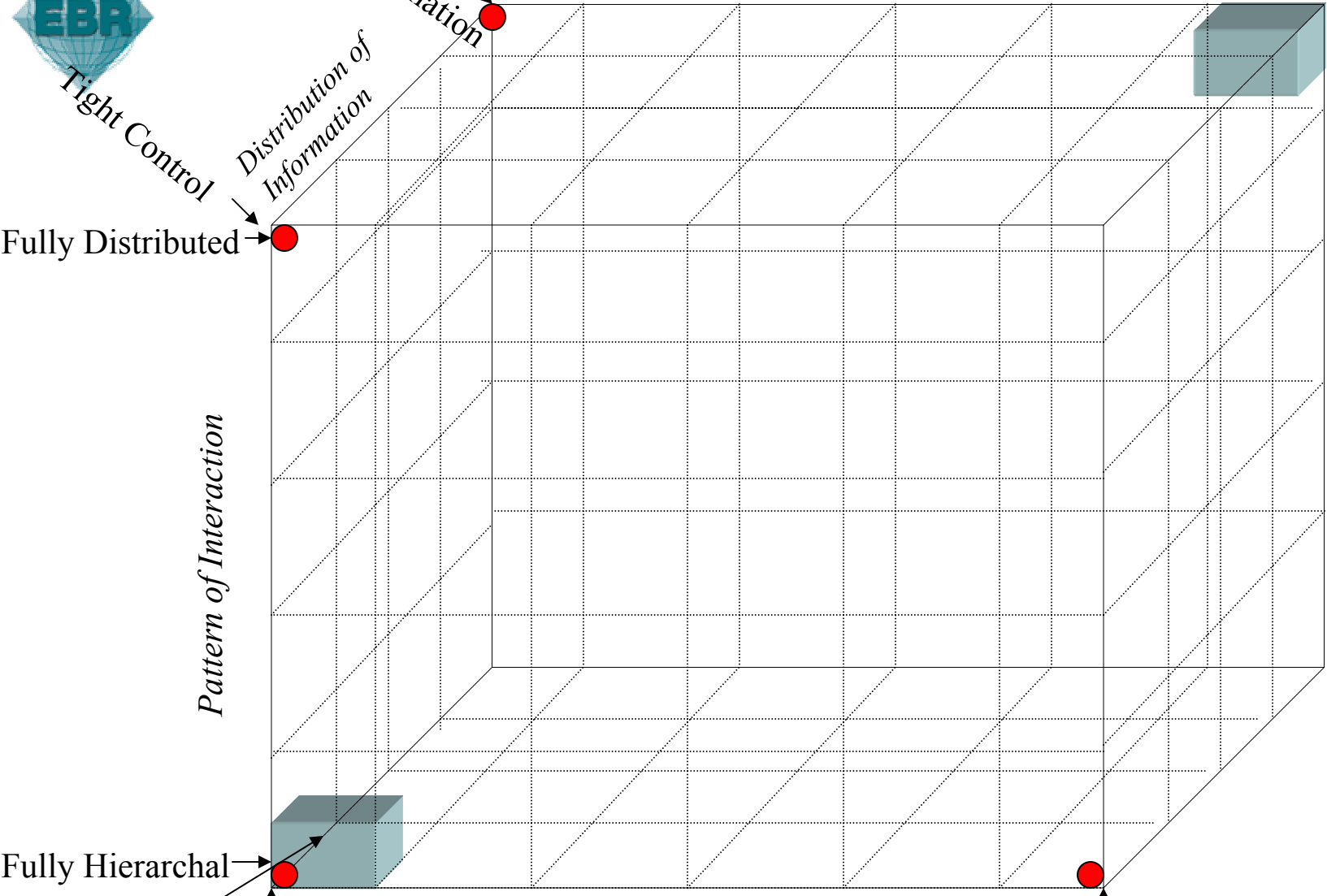
Fully Hierarchal

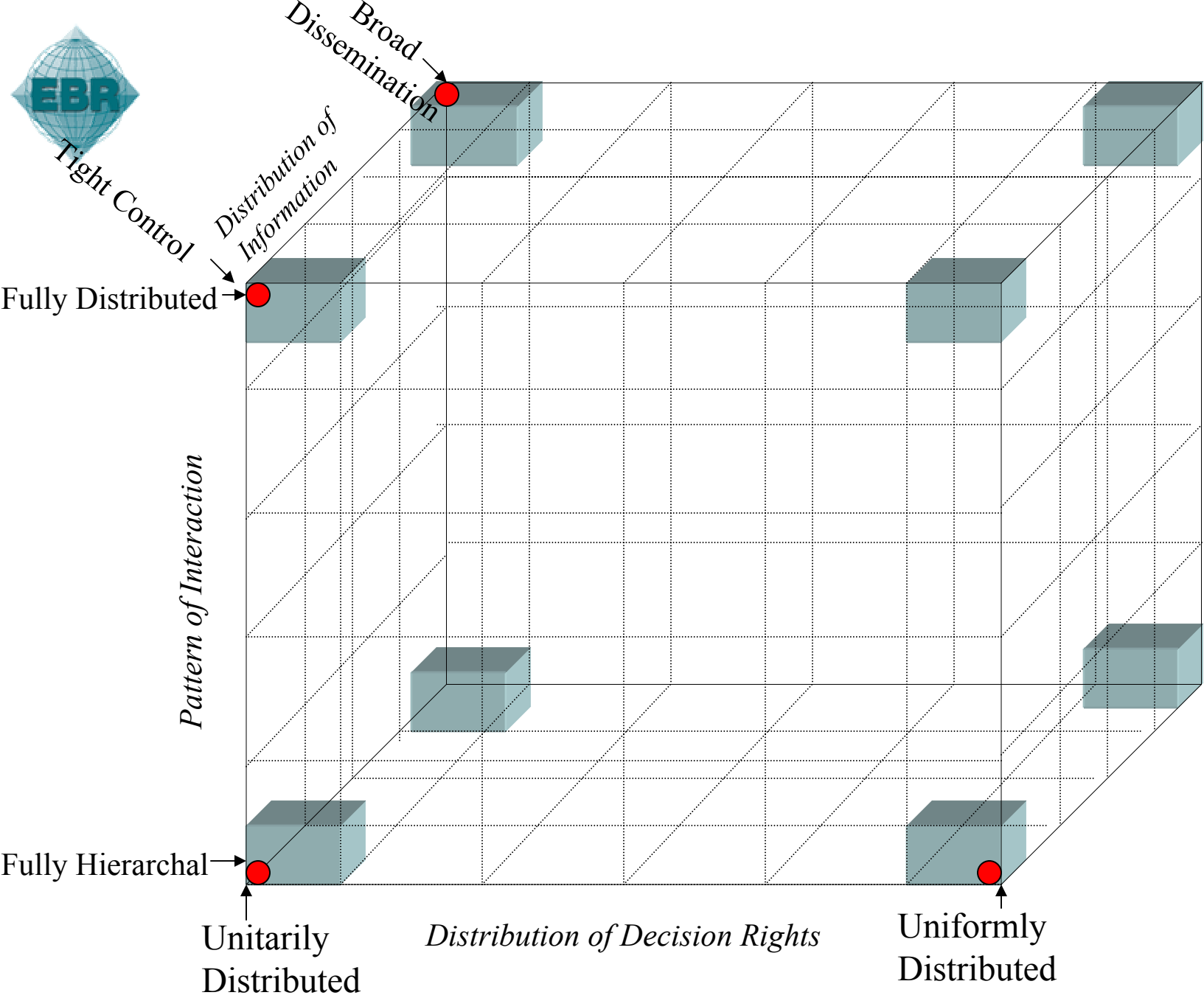
Unitarily Distributed

Distribution of Decision Rights

Uniformly Distributed

Classic C2







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