



# **“Time-Based Tree Graphs for Stabilized Force Structure Representations”\***

**8<sup>th</sup> International Command & Control  
Research & Technology Symposium**

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# ID Retention - Main Problem



- **Force structure is a (the) primary part of the initial conditions entered into battle command system databases. The system users add copious local data to the initial data.**
- **Because force structure is at the heart of any battle command model, nearly all other user entities are linked to it. If the force structure data is not stable and carefully managed, then it will be a difficult task to maintain consistency in these databases.**
- **Data must not be changed unless the changes are truly bona fide.**



# Primary Task - Change Management



- In the Army, there are about 4900 MTOEs  
(Modification Tables of Organization & Equipment)  
[ 1550 Active + 3350 Reserve/Guard ]
- They can change every six months.
- Huge obstacle to re-link systems deployed in the field, a cost that is much bigger than the cost of changing the MTOEs themselves.
- Focus: (1) Maintainability, (2) Interoperability, and (3) Generality.  
This led to the “Org-ID Retention” Project.
- The force structure representation process must:
  - (1) be automated and easily accessible
  - (2) be usable by a diverse set of users and applications
  - (3) permeate the whole Force Structure Development Process  
(Requirements (TOE)  $\Delta$  Authorizations (MTOE)  $\Delta$  Real Units (Forces))
  - (4) result in an openly available source that is directly downloadable into tactical systems (e.g., the Army Battle Command System).
  - (5) minimize changes and be accompanied by a *change information* to ensure consistency and integrity of the data after an update occurs.



# (M)TOE Structure

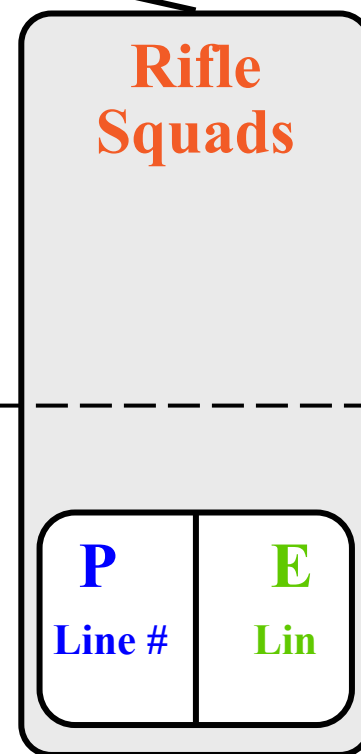
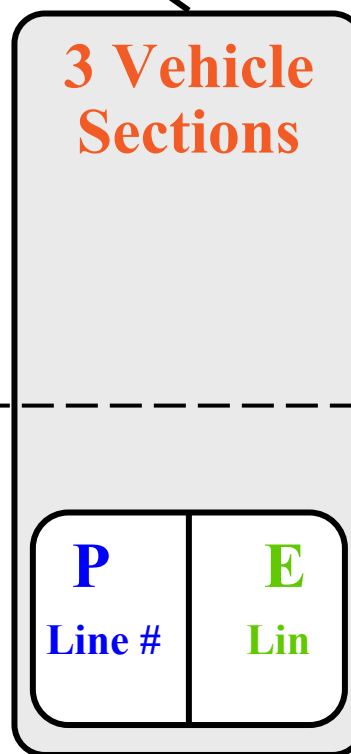
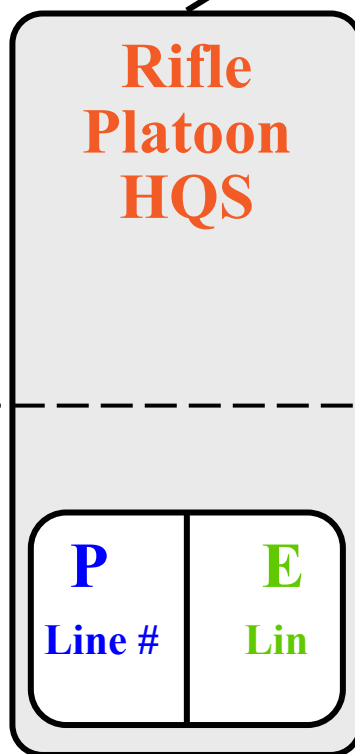
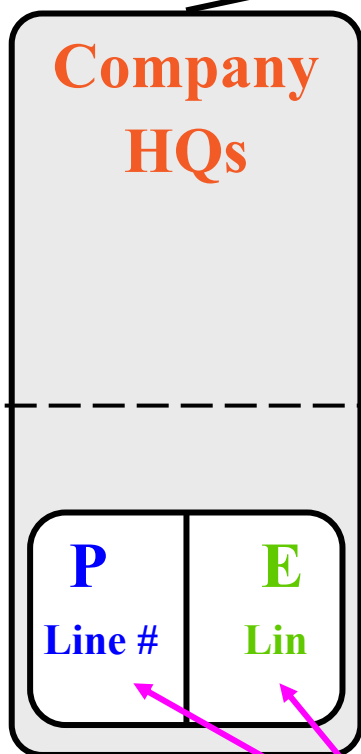


**Root Org  
(Mapped to Real Unit)**

**Ultimately  
Assigned a UIC**

**RFL CO  
INF BN  
(MECH) (XXI)**

**Para:  
A Single  
Clustering  
Level**



**Personnel &  
Equipment  
Data**

**w/ Multipliers**



# Example MTOE - Personnel



UNCLASSIFIED

## INPUT ANALYSIS REPORT - MTOE

DOCNO 07245FFC10  
CCNUM FC0601  
EDATE 08/17/2001

## SECTION II - PERSONNEL

| PARNO | P U<br>E M<br>R U<br>L L<br>N T | SUB UNIT TITLE / PARAGRAPH TITLE / UICDR<br>POSITION TITLE | GR | POSCO | SQ1 2D | ASI 01 | ASI 02 | LICCO | LPIND | BR | I P P P<br>D P S P<br>E S I S<br>N S R R<br>T T Q Q | REQ STR | AUTH STR | PURST | PAUST | PERMK |   |   | L C M<br>N O D<br>S V U<br>T C I<br>S D C |
|-------|---------------------------------|--|----|-------|--------|--------|--------|-------|-------|----|---|---------|----------|-------|-------|-------|---|---|---|
|       |                                 |  |    |       |        |        |        |       |       |    |   |         |          |       |       | 1     | 2 | 3 |   |
| 203   | 01                              | PLATOON LEADER   | O2 | 11A00 |        | 3X     | 5Q     |       |       | IN | O Y Y Y   | 1       | 1        | 3     | 3     | 12    |   |   | 8   |
|       | 02                              | PLATOON LEADER   | O2 | 11A00 |        | 3X     | 5R     |       |       | IN | O Y Y Y   | 2       | 2        | 6     | 6     | 12    |   |   | 8   |
|       | 07                              | RADIO TELEPHONE OPR  | E3 | 11B10 |        |        |        |       |       |    | E Y Y Y   | 3       | 3        | 9     | 9     | 12    |   |   | 8   |
|       |                                 | VEHICLE SECTION  |    |       |        |        |        |       |       |    |   | 6       | 6        | 18    | 18    |       |   |   |   |
| 204   | 01                              | PLATOON SERGEANT   | E7 | 11B40 |        |        |        |       |       | NC | E Y Y Y   | 3       | 3        | 9     | 9     | 12    |   |   | 8   |
|       | 02                              | SECTION LEADER   | E6 | 11B30 | J3     |        |        |       |       | NC | E Y Y Y   | 6       | 6        | 18    | 18    |       |   |   | 8   |
|       | 03                              | GUNNER   | E5 | 11B20 |        |        |        |       |       | NC | E Y Y Y   | 6       | 6        | 18    | 18    | 04    |   |   | 8   |
|       | 04                              | GUNNER   | E4 | 11B10 |        |        |        |       |       |    | E Y Y Y   | 9       | 9        | 27    | 27    |       |   |   | 8   |
|       | 05                              | IFV DRIVER   | E4 | 11B10 |        |        |        |       |       |    | E Y Y Y   | 12      | 12       | 36    | 36    | 04    |   |   | 8   |
|       |                                 | RIFLE SQUADS   |    |       |        |        |        |       |       |    |   | 36      | 36       | 108   | 108   |       |   |   |   |
| 205   | 11                              | SQUAD LEADER   | E6 | 11B3G |        |        |        |       |       | NC | E Y Y Y   | 3       | 3        | 9     | 9     |       |   |   | 8   |
|       | 12                              | SQUAD LEADER   | E6 | 11B30 |        |        |        |       |       | NC | E Y Y Y   | 6       | 6        | 18    | 18    |       |   |   | 8   |
|       | 13                              | TEAM LEADER  | E5 | 11B2G |        |        |        |       |       | NC | E Y Y Y   | 9       | 9        | 27    | 27    |       |   |   | 8   |
|       | 14                              | TEAM LEADER  | E5 | 11B20 |        |        |        |       |       | NC | E Y Y Y   | 9       | 9        | 27    | 27    |       |   |   | 8   |
|       | 15                              | AUTOMATIC RIFLEMAN   | E4 | 11B10 |        |        |        |       |       |    | E Y Y Y   | 18      | 18       | 54    | 54    |       |   |   | 8   |
|       | 16                              | GRENADIER  | E4 | 11B10 |        |        |        |       |       |    | E Y Y Y   | 18      | 18       | 54    | 54    |       |   |   | 8   |
|       | 17                              | ANTIARMOR SPECIALIST                                       | E3 | 11B10 | C2     |        |        |       |       |    | E Y Y Y   | 9       | 9        | 27    | 27    |       |   |   | 8   |
|       | 18                              | RIFLEMAN   | E3 | 11B10 | B4     |        |        |       |       |    | E Y Y Y   | 9       | 9        | 27    | 27    |       |   |   | 8   |
|       |                                 | ANTIARMOR SECTION  |    |       |        |        |        |       |       |    |   | 81      | 81       | 243   | 243   |       |   |   |   |
|       |                                 |  |    |       |        |        |        |       |       |    |   | 0       | 0        | 0     | 0     |       |   |   |   |



# Example MTOE - Equipment



UNCLASSIFIED

## INPUT ANALYSIS REPORT - MTOE

### SECTION III - EQUIPMENT

DOCNO 07245FFC10

CCNUM FC0601

EDATE 08/17/2001

| PARNO | LINUM  | E U<br>R M<br>C U<br>O L<br>D T | SUB UNIT TITLE / PARAGRAPH TITLE / UICDR<br>NOMENCLATURE        | REQ AUTH |      |       |       | ERMK |   | L C M<br>N O D<br>S V U<br>T C I<br>S D C |  |   |
|-------|--------|---------------------------------|---|----------|------|-------|-------|------|---|---|--|---|
|       |        |                                 |   | EQMT     | EQMT | PUREQ | PAUEQ | 1    | 2 |   |  |   |
| 202   |        |                                 | 3 RIFLE PLT HQS   |          |      |       |       |      |   |   |  |   |
|       | A34938 | A                               | AIMING LIGHT INFRARED: AN/PAQ-4                                 | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | B67766 | B                               | BINOCULAR: MODULAR CONSTRUCTION MIL SCALE RETICLE 7X50MM W/E    | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | D10788 | A                               | DIGITAL DATA SET: AN/PSG-7V1                                    | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | D78555 | A                               | DATA TRANSFER DEVICE: AN/CYZ-10                                 | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | M74849 | B                               | MINI EYESAFE LASER INFRARED OBSERVATION SET (MELIOS): AN/PVS-6  | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | N05482 | A                               | NIGHT VISION GOGGLE: AN/PVS-7B                                  | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | N95862 | B                               | NAVIGATION SET SATILLITE SYSTEMS: AN/PSN-11                     | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | R31061 | B                               | RADIAC SET: AN/UDR-13   | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | R55336 | A                               | RADIO SET: AN/PRC-126   | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | R83073 | A                               | RADIO SET: AN/PRC-119D  | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | S60288 | B                               | SIGHT: REFLEX COLLIMATOR  | 6        | 6    | 18    | 18    |      |   |   |  | 8 |
| 203   |        |                                 | VEHICLE SECTION   |          |      |       |       |      |   |   |  |   |
|       | A33020 | B                               | ALARM: CHEMICAL AGENT AUTOMATIC M22                             | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | B67766 | B                               | BINOCULAR: MODULAR CONSTRUCTION MIL SCALE RETICLE 7X50MM W/E    | 3        | 3    | 9     | 9     |      |   |   |  | 8 |
|       | C68719 | B                               | CABLE TELEPHONE: WD-1/TT DR-8 1/2 KM                            | 12       | 12   | 36    | 36    |      |   |   |  | 8 |
|       | C89070 | C                               | CAMOUFLAGE SCREEN SUPPORT SYSTEM: WOODLAND/DESERT               | 24       | 24   | 72    | 72    |      |   |   |  | 8 |
|       | C89145 | C                               | CAMOUFLAGE SCREEN SYSTEM: WOODLAND LT WT RADAR SCAT W/O SPT SYS | 24       | 24   | 72    | 72    |      |   |   |  | 8 |
|       | D78555 | A                               | DATA TRANSFER DEVICE: AN/CYZ-10                                 | 18       | 18   | 54    | 54    |      |   |   |  | 8 |
|       | F40375 | P                               | FIGHTING VEHICLE: FULL TRACKED INFANTRY HI SURVIVABILITY (IFV)  | 12       | 12   | 36    | 36    |      |   |   |  | 8 |
|       | L44031 | A                               | LAUNCHER GRENADE ARMAMENT SUBSYSTEM: M257                       | 12       | 12   | 36    | 36    |      |   |   |  | 8 |
|       | M51419 | C                               | MISSILE SIMULATION ROUND: (TOW)                                 | 6        | 6    | 18    | 18    |      |   |   |  | 8 |
|       | M92420 | A                               | MACHINE GUN 7.62 MILLIMETER: FIXED RH FEED                      | 12       | 12   | 36    | 36    |      |   |   |  | 8 |



# LC2IEDM: 5 Basic Battlefield Entities

(Land C2 Information Exchange Data Model)



## Template

## Initial Focus

## Instance

1

**Organization-Type**  
(Templates; e.g., T

**Force Structure**

**Organization**  
Real Units; e.g., w/ UICs)

2

**Materiel-Type**  
Types of Objects w/ N

**Logistics**

**Materiel**  
Real Objects w/ Serial #'s

3

**Person-Type**  
Cat. of People; e.g.,

**Personnel**

**Person**  
Real People w/ SSNs

**Facility-Type**  
Cat. of Buildings

IS\_A Links

**Facility**  
Real Buildings w/ Addresses

**Feature-Type**  
Cat. Of Places

**Feature**  
Real Places w/ Numbers



# Initial Subset of Interest



## Template

**Organization-Type**  
(Templates; e.g., TO&Es)

**Materiel-Type**  
Types of Objects w/ NSNs

**Person-Type**  
Cat. of People; e.g., MOS's

**MTOE**

## Instance

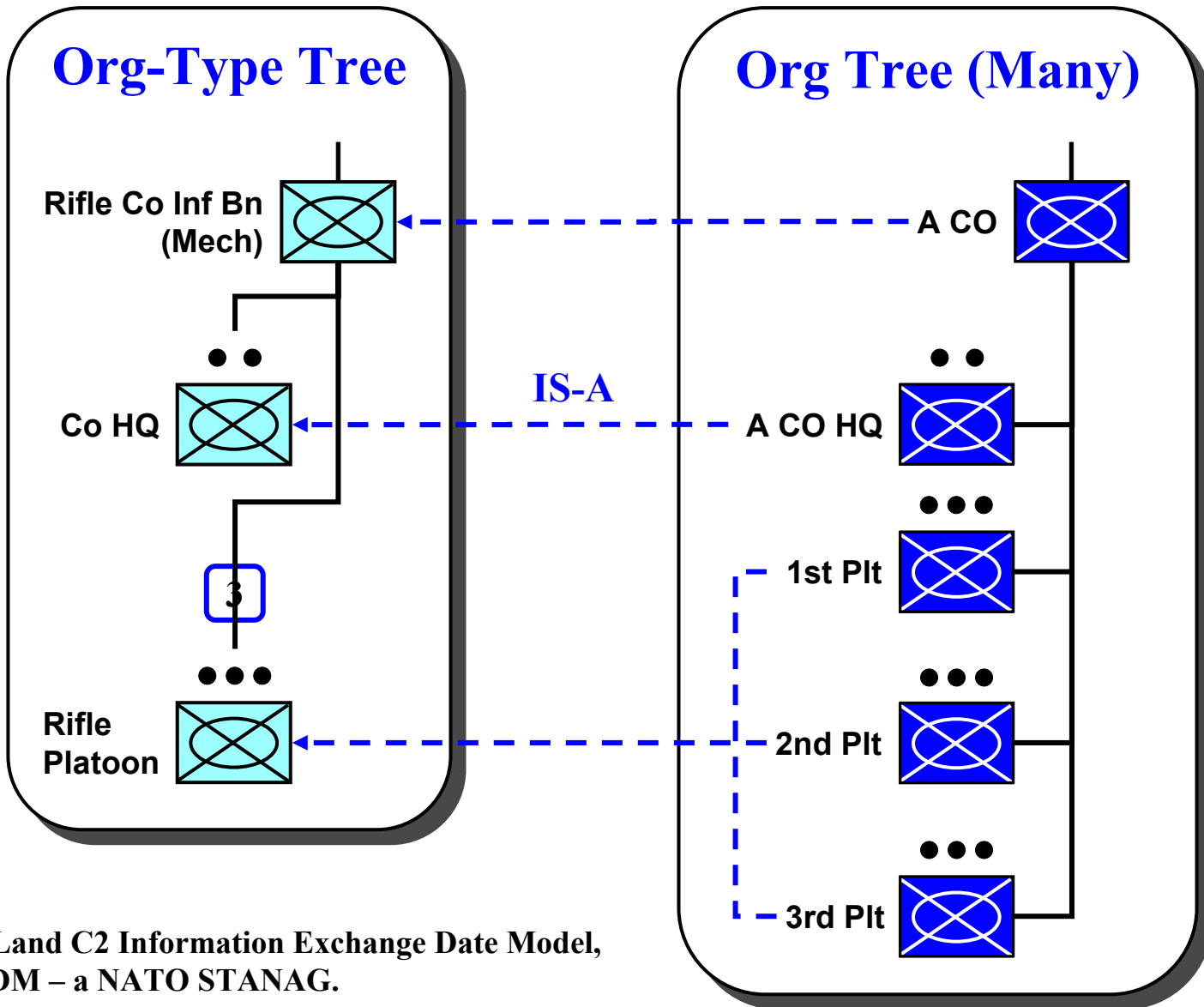
**Organization**  
(Real Units; e.g., w/ UICs)

**“ASORTS”**





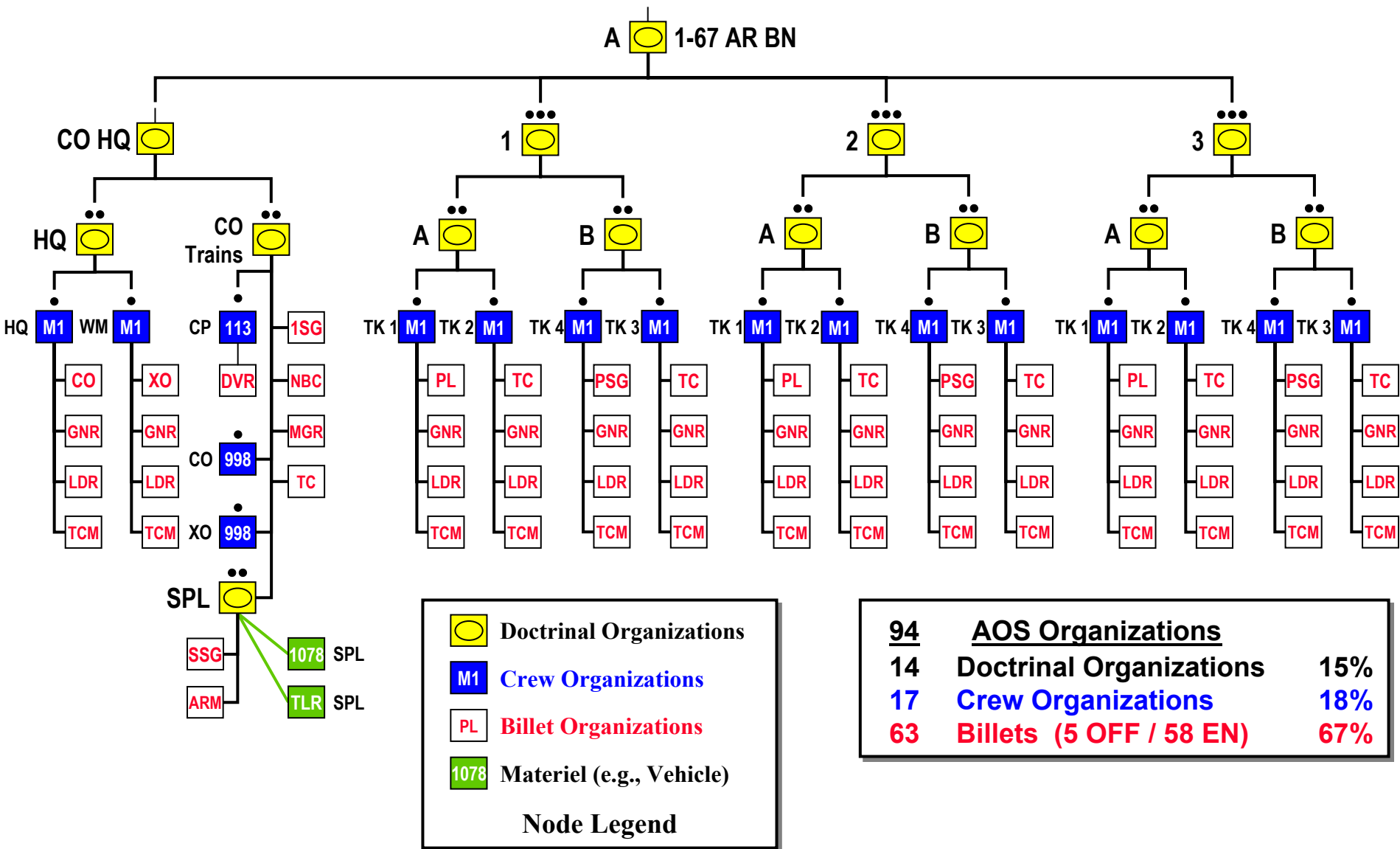
# Org-Type Trees (Templates) vs Org Tree (Instances)



From the Land C2 Information Exchange Data Model,  
or LC2IEDM – a NATO STANAG.



# A Possible Default Force Structure for "Tank Company A"





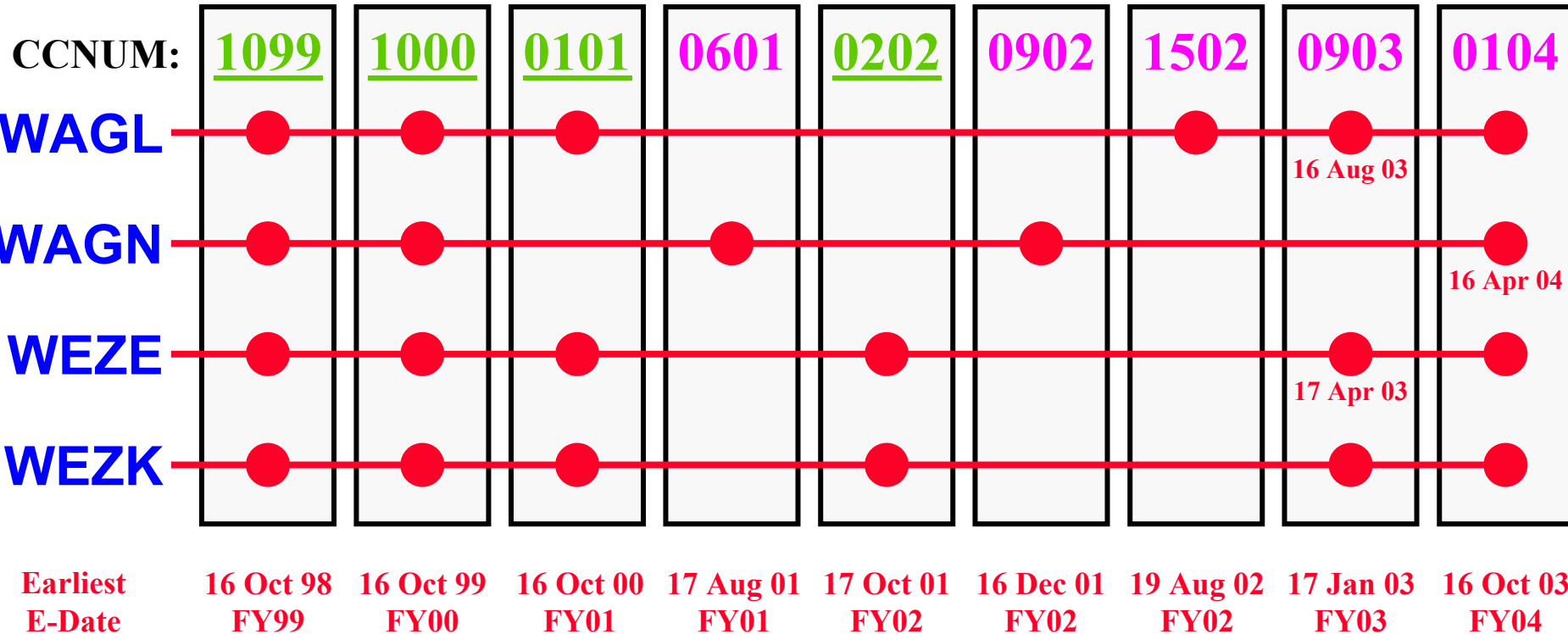
# MTOE Identification - Overloaded Definitions



DOCNO:  
[Series]

07245LFC10

07245FFC10



Time →

A DOCNO/CCNUM or UIC/EDATE uniquely identifies an MTOE

Question: Are there 9 or 23 MTOEs Shown? Problem is *Yes!*

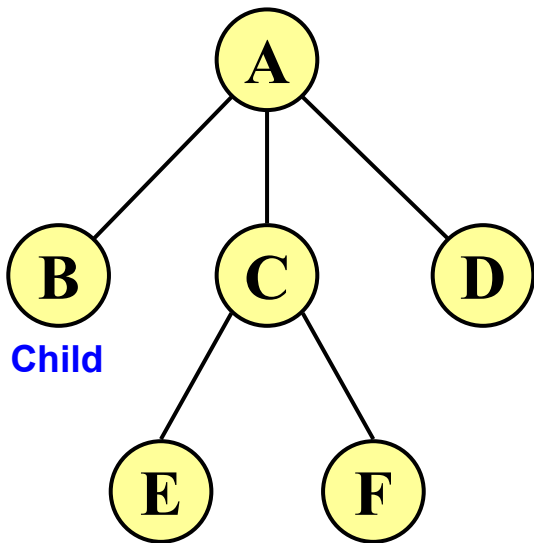


# Tree Graphs



Graph G:

Parent



Child

**NODES** (or *vertices*): set  $V = \{ A, B, C, D, E, F \}$

**LINKS** (*edges*): set  $E = \{ (A,B), (A,C), (A,D), (C,E), (C,F) \}$

**GRAPH**: collection of vertices and edges:  $G(V,E)$

A **Tree** structure is a “connected” graph with no “cycles,” i.e., every node has at least one link to another node and only one path exists between any two nodes.

Via a link, a node can be a **parent** or a **child** of another node.

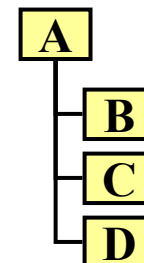
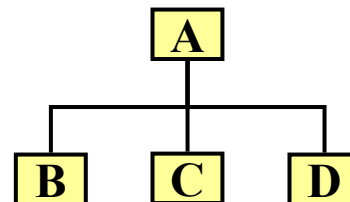
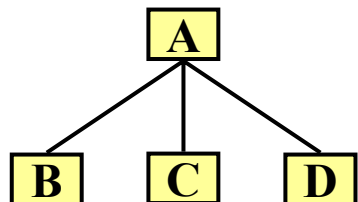
A node without a child is called a **terminal or leaf node** (e.g., the nodes at the bottom of the tree: B, D, E, and F)

A node with children is a **non-terminal or internal node** (e.g., A and C);

The **root node** is a special internal node with no parent (e.g., A).

**Organization Charts are Trees (w/ boxes instead of circles)**

( Often the name of the tree is inherited from the name of the root node - e.g., A ):

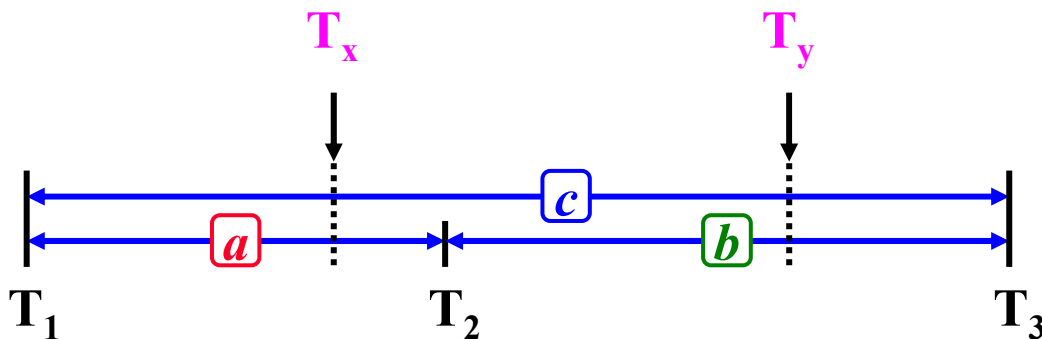
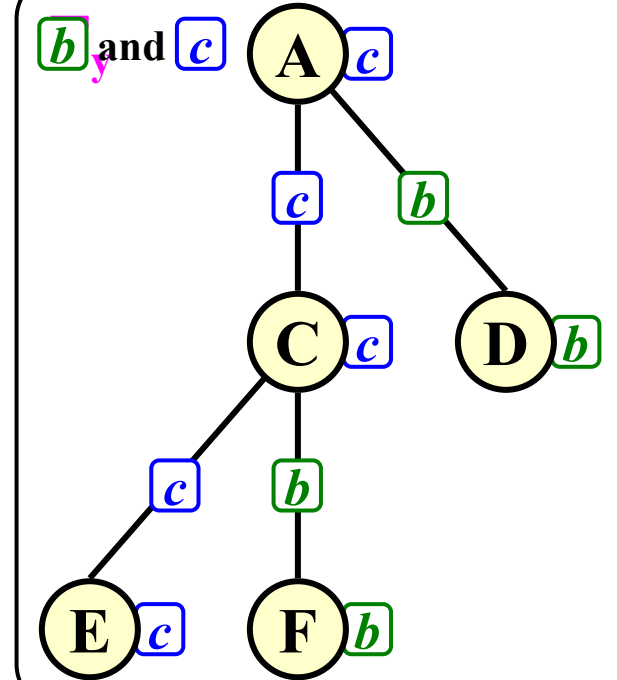
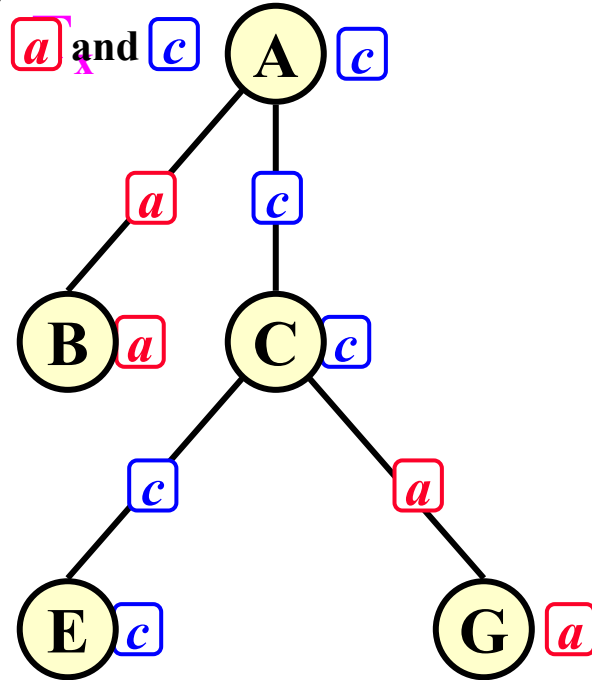
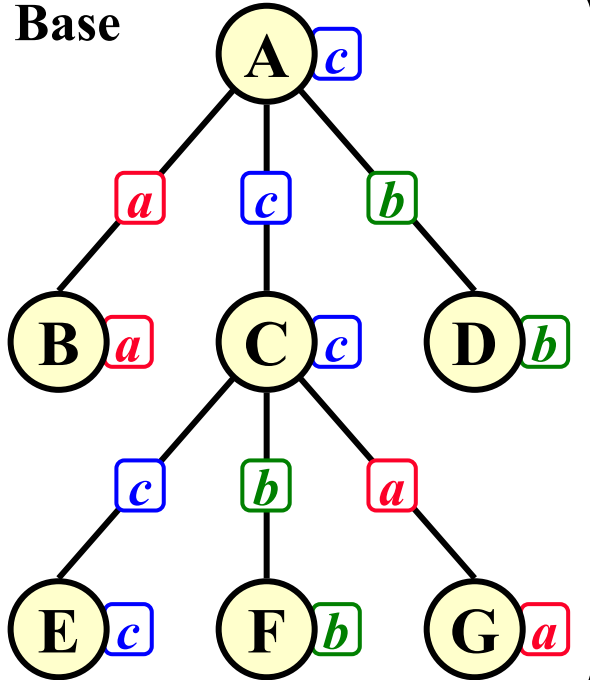




# Labeled or Timed Tree Graphs



Base



$$T_1 \leq T_x < T_2 \leq T_y < T_3$$



# Stability with Time



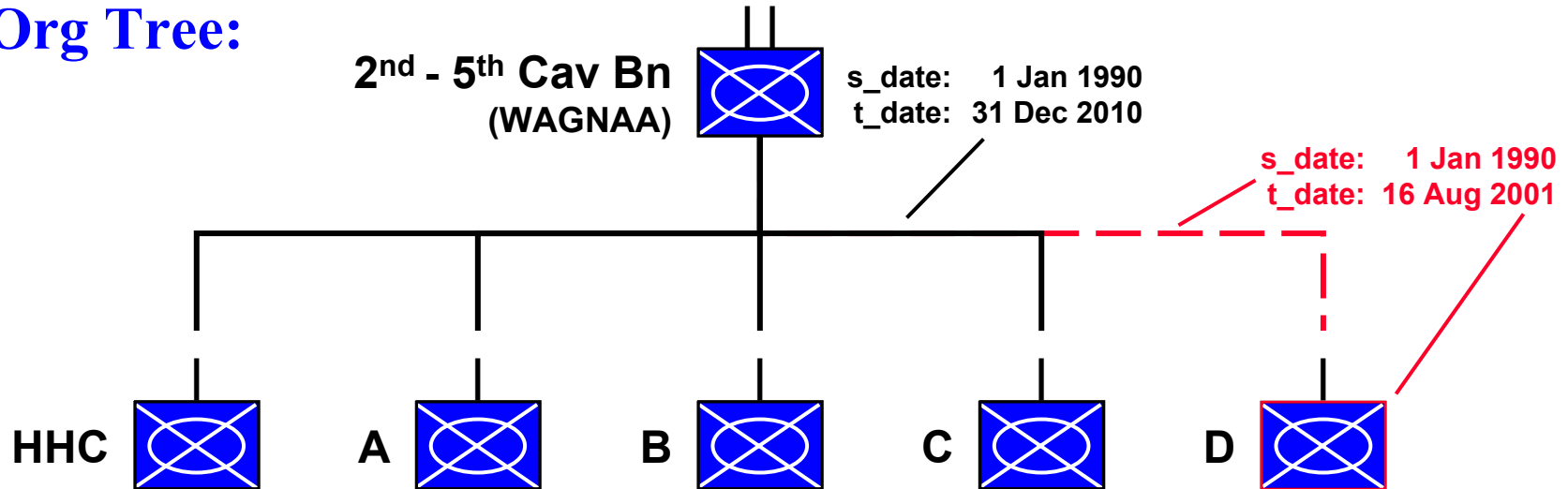
- An interval is defined with a start\_point (s\_date) and an termination\_point (t\_date).
- Valid nodes/links are those whose associated time interval include a specified time.
- To continue to include a node or link in the tree, the t\_date is simple extended to the current event horizon (the maximum value of any t\_date in the graph).
- The default assumption is that all nodes and links continue to be part of the tree, which is the usual case.
- This results in stability as bona fide changes must be explicitly entered. There is no need to delete “obsolete” values.



# Org Tree with Times



## Org Tree:



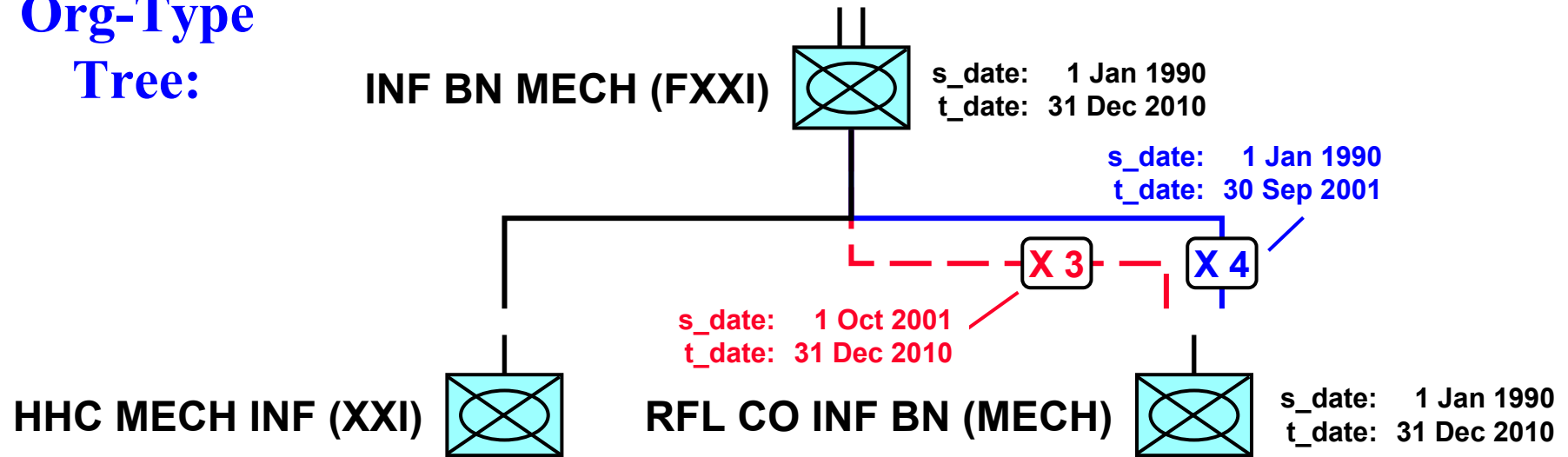
Transition from L-Series to F-Series Structure on 17 Aug 2001

Time is REAL time – it represents an Effective Date (EDATE)



# Org-Type Trees with Times

## Org-Type Tree:



Modification from L-Series to F-Series Structure

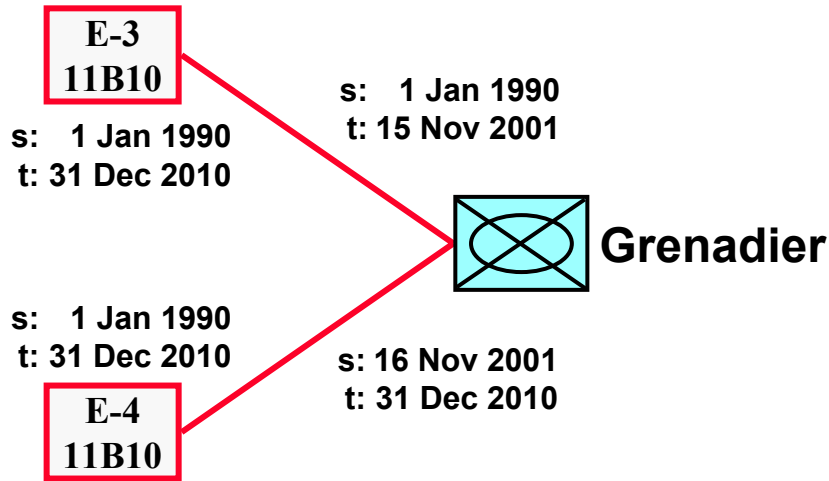
Time is **RELATIVE** time – it represents a sequential state in the evolution of the tree (a monotonic increasing function).

I named it a **Modification Date (MDATE)**.

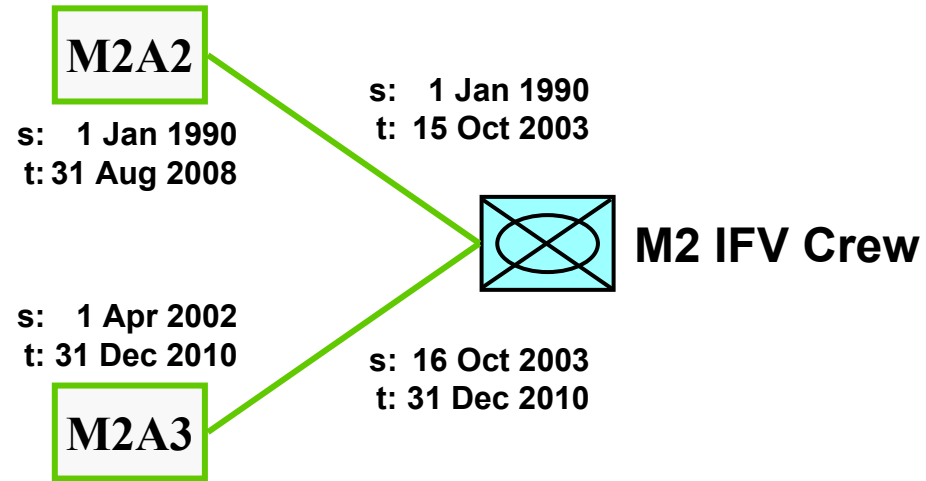




# Time Extends to Attribute Entities Associated with Org-Type Nodes



**Person-Type : Org-Type**



**Materiel-Type : Org-Type**



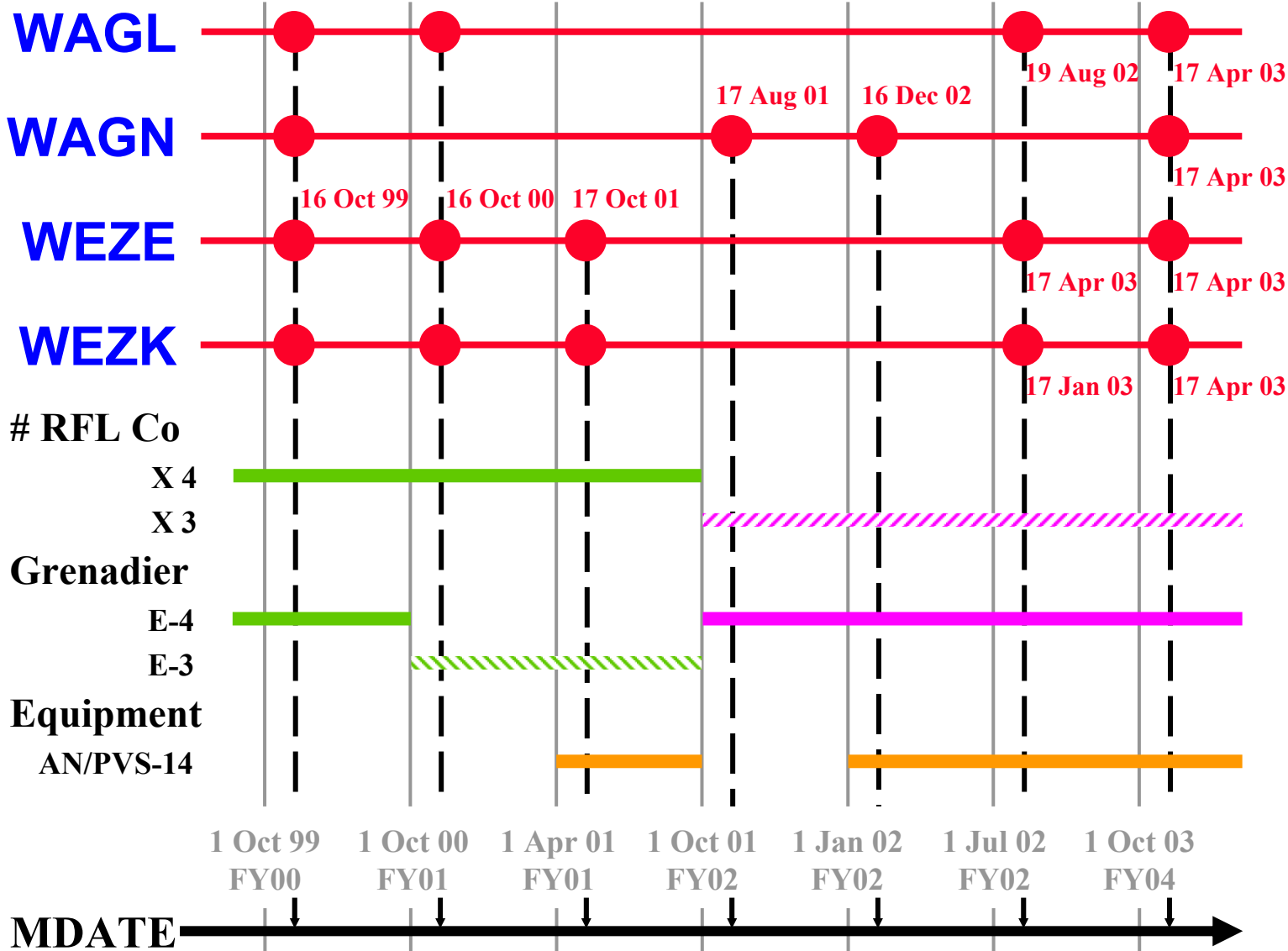
# Time-Base "MTOE"



DOCNO: 07245LFC10

07245FFC10

CCNUM: 1000 0101 0202 0601 0902 1502 0104

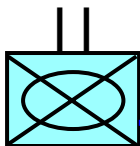




# Time Between Org and Org-Type Nodes

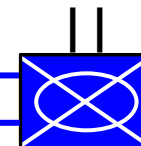


INF BN MECH  
(FXXI)



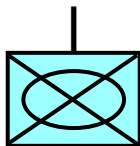
s\_date: 1 Jan 1990;  
t\_date: 16 Aug 2001;  
m\_date: 30 Sep 2001;

s\_date: 17 Aug 2001;  
t\_date: 31 Dec 2010;  
m\_date: 1 Oct 2001;



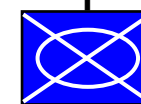
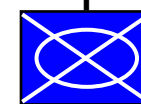
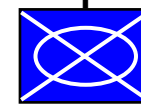
2nd - 5th Cav Bn  
(WAGNAA)

RFL CO INF  
BN (MECH)



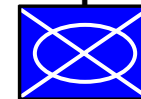
s\_date: 1 Jan 1990;  
t\_date: 31 Dec 2010;  
m\_date: 1 Jan 2002;

A-C Rifle Co  
(WAGNA/B/C0)



s\_date: 1 Jan 1990;  
t\_date: 17 Aug 2002;  
m\_date: 1 Jan 2002;

D Rifle Co  
(WAGND0)



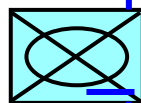
s\_date: 1 Jan 1990;  
t\_date: 16 Aug 2001;

AN/  
PVS-14

s\_date: 1 Apr 2001;  
t\_date: 30 Sep 2001;

s\_date: 1 Jan 2002;  
t\_date: 31 Dec 2010;

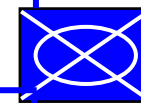
Grenadier



s\_date: 16 Oct 1999;  
t\_date: 16 Aug 2001;  
m\_date: 1 Oct 1999;

s\_date: 17 Aug 2001;  
t\_date: 15 Dec 2002;  
m\_date: 1 Oct 2001;

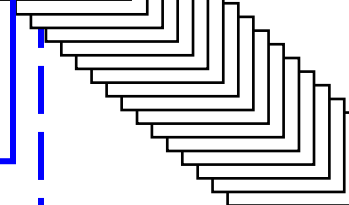
Grenadier 1



s\_date: 16 Dec 2002;  
t\_date: 16 Apr 2003;  
m\_date: 1 Jan 2002;

s\_date: 17 Apr 2003;  
t\_date: 31 Dec 2010;  
m\_date: 1 Oct 2003;

54 in  
2- 5th  
Cav Bn



E-3  
11B10

s\_date: 1 Oct 2000;  
t\_date: 30 Sep 2000;

E-4  
11B10

s\_date: 1 Jan 1990;  
t\_date: 30 Sep 2000;

s\_date: 1 Oct 2001;  
t\_date: 21 Dec 2010;



# Summary



- **Time-Based Tree Graphs can be used to provide a continuous, stable force structure representation suitable for use in digital battle command systems.**
- **This changes the way the Army documents its force structure – a major undertaking that affects nearly every system in the Army.**
- **It is an undergoing process that currently includes the Army G-3, G-8, G-6, PEO-C3T, and TRADOC with ARL technical assistance.**