



Ministry of Defence

# CICS Benchmarking: Bachelor for NEC-era Signals Officers

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# Outline

## Goal:

- To describe benchmarking of bachelor-level course for Signals, IS, & C2 officers against NEC Maturity Level 4

## Overview:

- Introduction & motivation
- Doctrinal background
- NLD Signals, IS, & CIS officers
- Existing course
- Knowledge officers need in NEC-era
- Conclusions & recommendations

# Introduction

Netherlands Defence Academy (NLDA):

- Initial officer education:
  - All 4 Dutch military services
  - Military forming & academic education (Ba. Level)
- Related scientific research

My appointment:

- Professor, Operational ICT & Communications
- Management:
  - Team of 7.5 fte lecturers
- Education:
  - Bachelors-level course for signals & CIS officer cadets:  
**Communications-, Information-, & C2 Systems (CICS)**
- Research:
  - 6 projects, 5 PhD candidates
  - See also Dick Ooms (paper 123) & Gijs vd Heuvel (paper 044)

# Motivation

Argument:

- NEC-era officers are now cadets
- They need scientific grounding
- Educators responsible for providing this
- Courses normally based on current insights
- Hence, we must look ahead 5 to 15 years
- NATO NEC Maturity Levels enable this

This paper:

- Shows how NEC likely to affect Training
- Suggests ways to enhance similar courses

# Doctrinal background (1)

Recent trends:

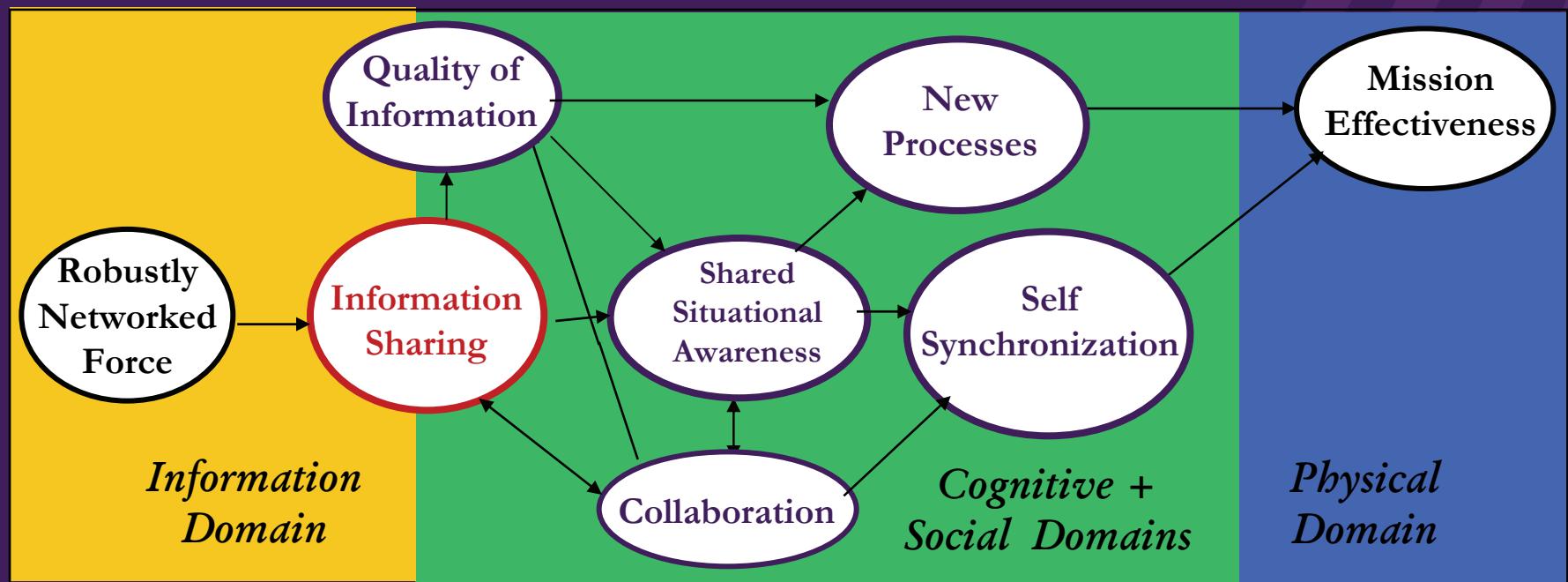
- Complex endeavors, eg Iraq, Afghanistan:  
Defence, diplomacy, & development (“3Ds”)
- Officer as “Thinking Warrior”:  
Making knowledge-intensive decisions under stress  
Develops innovative responses to novel situations  
Same skills as needed for scientific research  
Hence, needs academic education

From Netherlands Defence Doctrine (2005):

- Information operations
- Effects-based operations
- Network Enabled Capabilities (NEC)

# Doctrinal background (2)

*NEC value chain:*



# Doctrinal background (3)

C2 as communication:

- Information sharing ...
  - Human – human
  - Human – machine
- ... mediated by information processing

C2 system = Information System (IS):

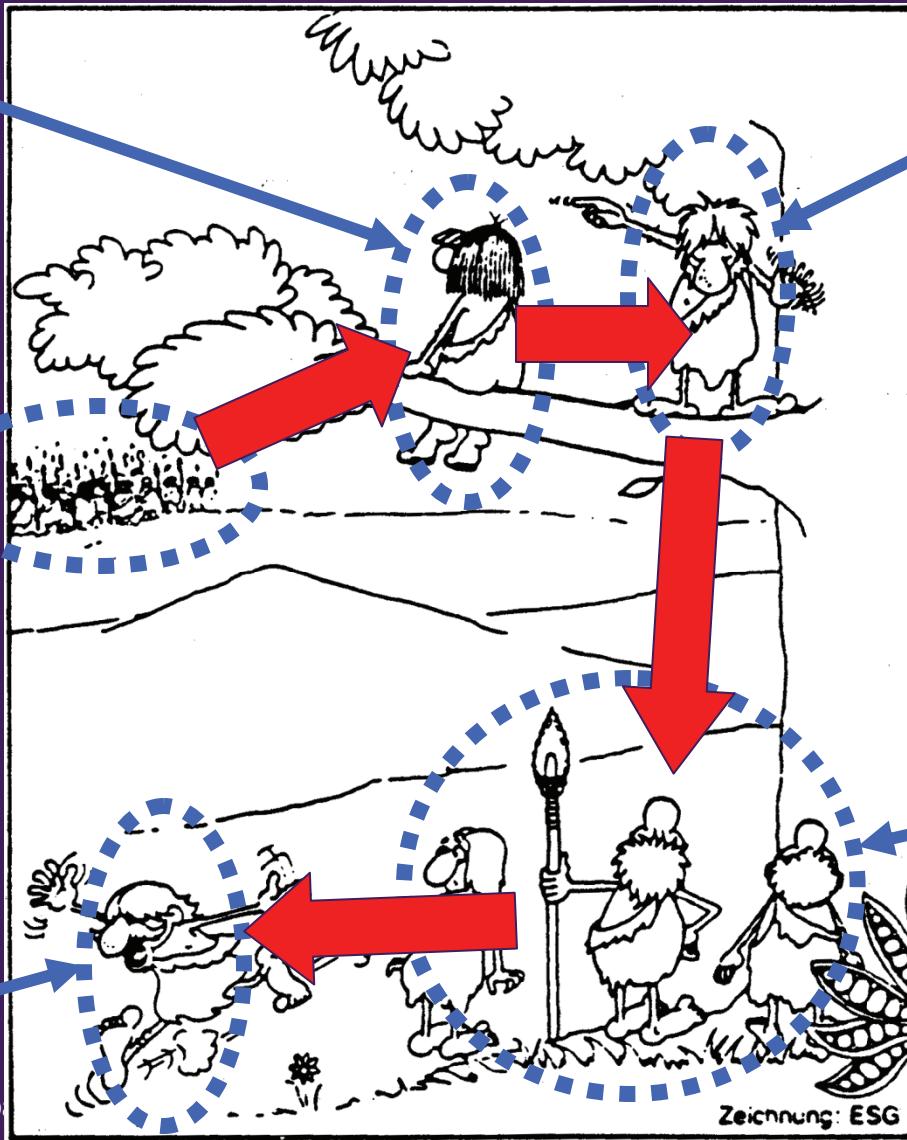
- Usually technological:
  - Information & Communications Technology (ICT)
- but not always ...

# Doctrinal background (4)

*Observing*  
*Communication  
underlies C2*

*Event occurring  
in environment*

*Acting*



*Assessing  
situation  
(Orient)*

*Deciding*

# Doctrinal background (5)



***Example C2 system  
(L-frigate CMS)***

# Doctrinal background (6)

*Another example C2 system (Uruzghan, Jun 07)*



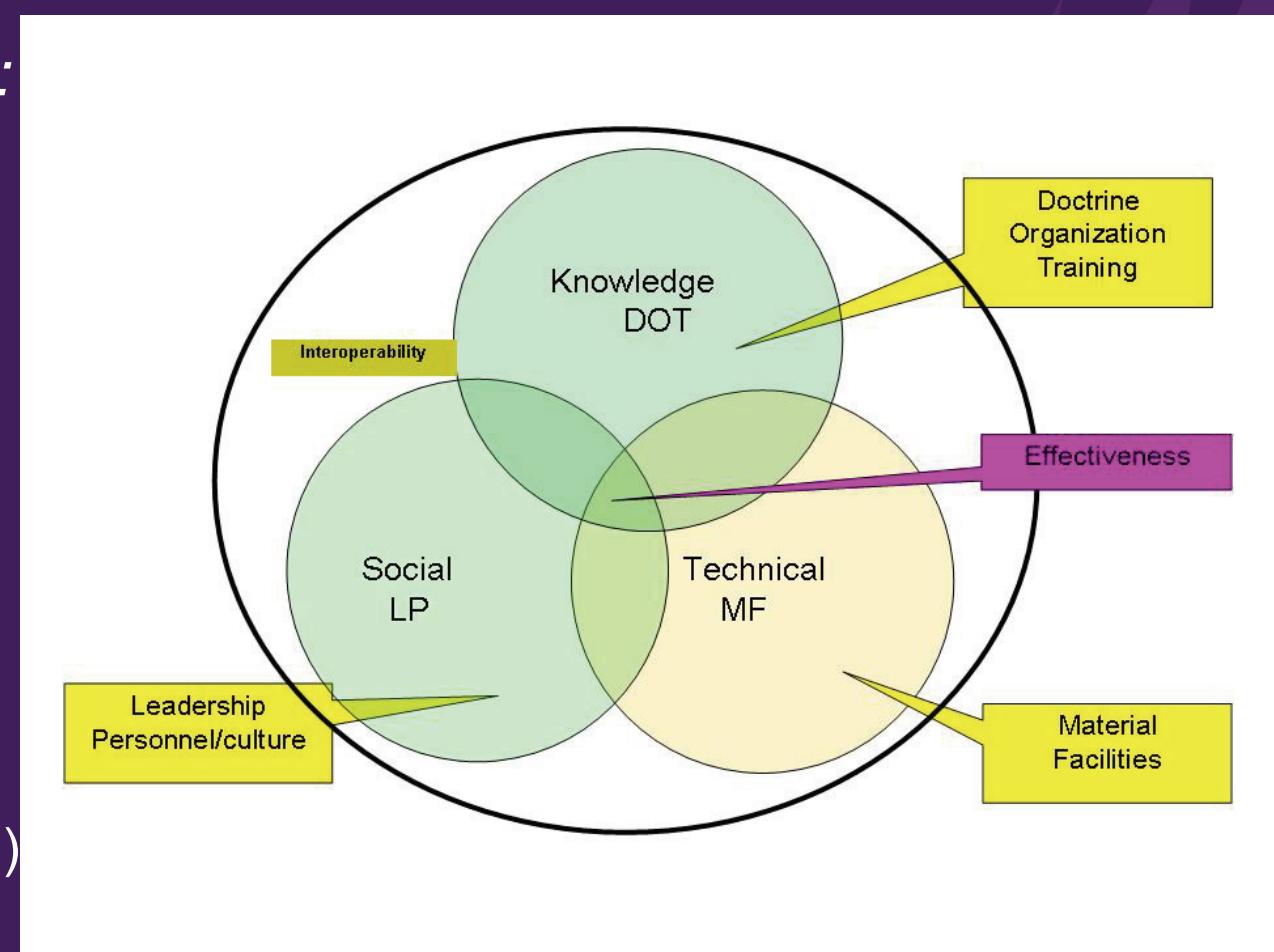
# Doctrinal background (7)

*DOTMLPFI factors:*

- Doctrine & ROE
- Organization
- Training & education
- Materiel
- Leadership
- Personnel
- Facilities
- Interoperability

*Three networks:*

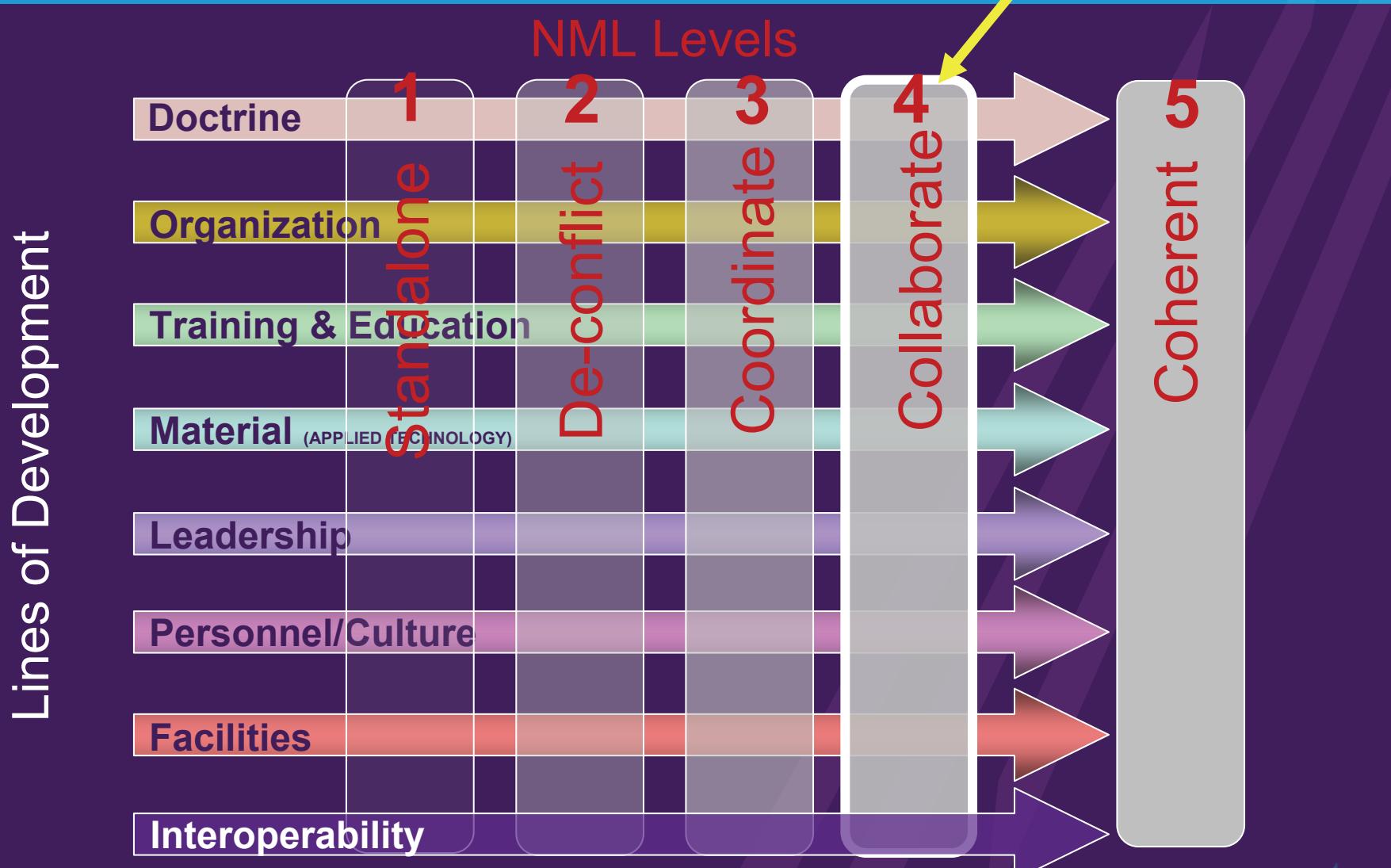
- Social
- Knowledge (cognitive)
- Technical



Van Ettinger, 2008

# Doctrinal background (8)

Where we want to  
be in 2016 (NDD)



# NLDA Signals, IS, & C2 officers (1)

Lead & manage activities supporting C2

CICS officer profile:

- As commander:
  - Applies IS units, including networks & EW
- As advisor to commander:
  - Analysis of commanders' C2 & IS needs
  - Planning & coordinating application of C2 systems, MIS, & IS networks
- In peacetime, preparing C2 & IS support:
  - Including selection, development, & maintenance of C2 & IS systems & networks

# NLDA Signals, IS, & C2 officers (2)

## Competencies:

- CICS officer has:
  - Knowledge of current & future operations
  - Insight into C2 & management processes
  - Insight into possibilities for applying ISs
  - Knowledge of capabilities & limitations of ICT
  - Knowledge of IS planning, establishment & control
- CICS officer is able to:
  - Develop, configure, deploy, adjust, maintain & secure IS organization
  - Inventarize, analyze, assess & evaluate users' information needs
  - Realize, combine & synchronize ISs
  - Follow future ICT developments

# CICS (1)

1<sup>st</sup> yr



2<sup>nd</sup> yr

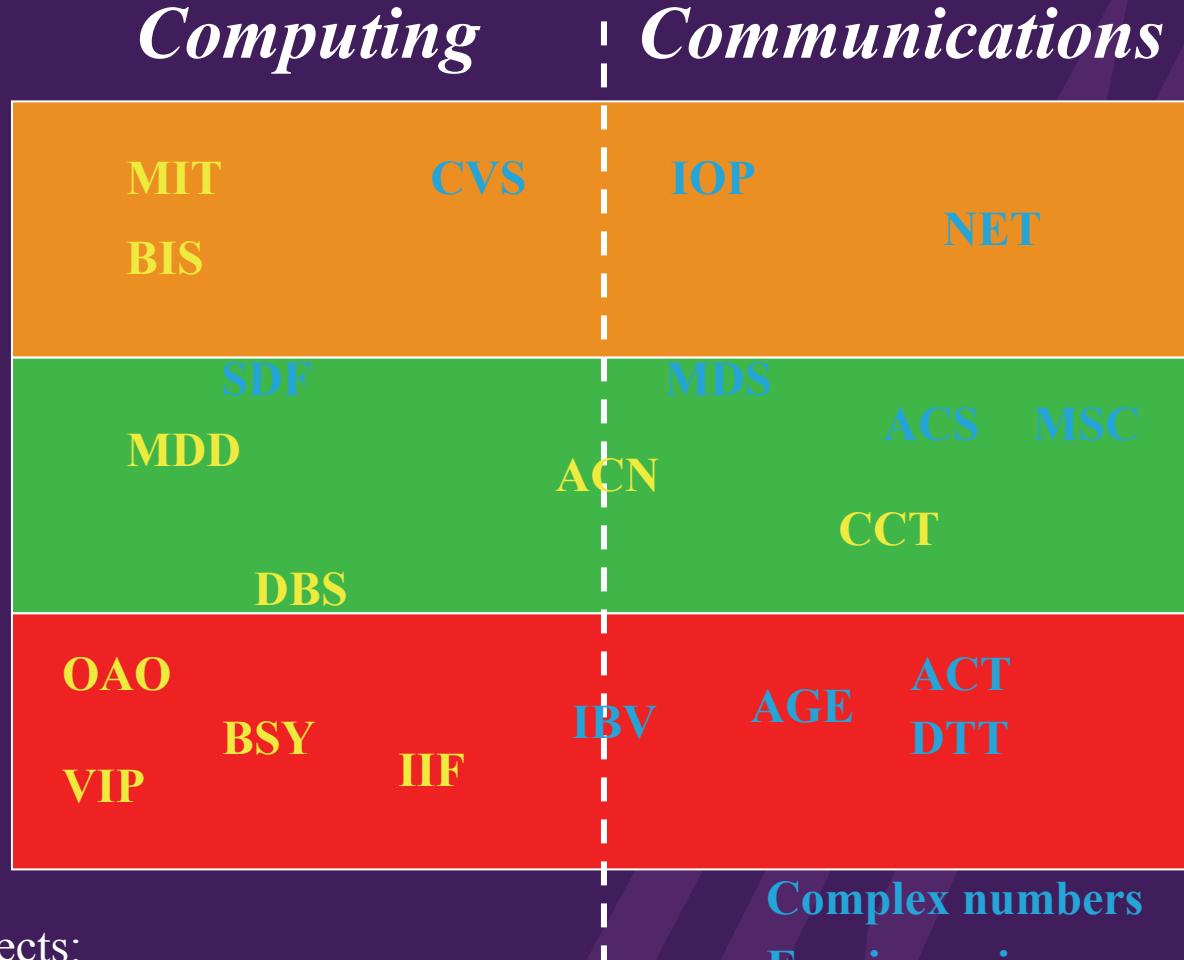


3<sup>rd</sup> yr



# CICS (2)

*Operational  
Systems  
Technological*



Non-computing subjects:

- Project management

Grant: CICS benchmarking - bachelor for NEC-era Signals officers

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# Knowledge needed in NEC era (1)

Benchmarking CICS:

- Against “gold standard”: Computing Curricula 2005
- Against civil university degrees: All Dutch universities Information Systems (IS) bachelors
- Against NEC doctrine: NATO NEC Maturity Levels (NMLs)

# Knowledge needed in NEC era (2)

## NATO NEC Maturity Levels:

- Developed by NATO C3 Agency & ACT
- For each NML:
  - Checklist by Lines of Development
  - Descriptive text
- (Version August 2008)

## My procedure:

- Take descriptive text for NML4
- Extract key phrases
- Map to scientific bodies of knowledge & fields
- Identify existing CICS subject (if any)

# Knowledge needed in NEC era (3)

LoD	NML 2 – Deconflict	NML 3 – Coordinate	NML 4 – Collaborate
<b>Doctrine</b>	<ul style="list-style-type: none"> <li>• Use of organizational entity doctrine and NATO doctrine deconflicted at the commander level.</li> <li>• National doctrinal development to incorporate NNEC in place but not yet fully implemented.</li> <li>• NATO doctrine supporting NNEC is being developed but is not yet fully implemented.</li> <li>• Doctrine describes "need to know."</li> </ul>	<ul style="list-style-type: none"> <li>• Doctrine for combined and joint operations incorporating net enabled capabilities.</li> <li>• Level of mutual understanding allows synergy between entities.</li> <li>• Expand Network interoperability through Info-sec policy</li> <li>• IM doctrine available</li> <li>• Doctrine describes "responsibility to share."</li> </ul>	<ul style="list-style-type: none"> <li>• Doctrine describes mutual development and execution of a common shared plan.</li> <li>• Doctrine describes the net enabled planning and execution of operational capabilities driven by effects based approach to operations.</li> <li>• Doctrine describes "need to share."</li> </ul>
<b>Organization</b>	<ul style="list-style-type: none"> <li>• Hierarchical structure with a centralized decision making process. (Vertical J-staff organization).</li> <li>• Organizational flexibility limited by structure.</li> <li>• Organization structure designed to optimize internal processes.</li> <li>• Indirect external interaction through LNO.</li> <li>• LNO's are an "add on" to the receiving entity.</li> <li>• LNOs used to increase SA and coordination among organizational entities.</li> <li>• LNO supports the sending entity.</li> </ul>	<ul style="list-style-type: none"> <li>• Expand interaction across domains through task groups.</li> <li>• LNO empowered by the sending and supporting hosting entities.</li> <li>• Coordinated national operational logistic chain with multi-national solutions.</li> <li>• Flexible organization with robust reach back capability.</li> <li>• Organizational structure allows direct external interactions (including other than LNO).</li> </ul>	<ul style="list-style-type: none"> <li>• Empowered LNO (decision rights) is organic to the staff of the supported entity resulting in an integrated staff.</li> <li>• Transparent organization.</li> <li>• Dynamic organization based on mission requirements (including reach back).</li> <li>• Organizational structure allows continuous dynamic interactions (collaboration).</li> </ul>
<b>Training</b>	<ul style="list-style-type: none"> <li>• Trains tactics, techniques and procedures (TTP) focusing on capabilities (not network enabled) for deconflicted operations.</li> <li>• Selected elements (commanders and staff) trained for mutual understanding.</li> </ul>	<ul style="list-style-type: none"> <li>• Force training to develop mutual understanding.</li> <li>• Commander and staff training on joint and combined operations and tactics (not excluding IO/NGO).</li> </ul>	<ul style="list-style-type: none"> <li>• Combined joint integrated staff training (not excluding IO/NGO).</li> <li>• Combined joint operational and tactical force training.</li> <li>• Trained to work in ad hoc and distributed environments.</li> </ul>
<b>Materiel</b>	<ul style="list-style-type: none"> <li>• Utilizes equipment providing military capabilities with limited interoperability and little or no net enabled capability.</li> <li>• Adheres to national or component standards (functional stovepipes).</li> <li>• Diversity of technical maturity requires national support (some equipment in net enabled but the majority is not).</li> <li>• Systems support shared Situational awareness and C2 at the individual command level with human intervention.</li> </ul>	<ul style="list-style-type: none"> <li>• Adheres to shared (STANAG) standards.</li> <li>• Systems support shared SA and C2.</li> <li>• Systems share data without human intervention with human interpretation of information required.</li> </ul>	<ul style="list-style-type: none"> <li>• Implementation of all shared (STANAG) standards.</li> <li>• C4I Services federated on a converged network.</li> <li>• C4I Services share information with no human interpretation required.</li> </ul>
<b>Leadership</b>	<ul style="list-style-type: none"> <li>• Utilizes methodologies and doctrine focusing on military capabilities for deconfliction.</li> <li>• Leaders focus on complying within agreed deconfliction constraints.</li> <li>• Communicates vertically within his own organization.</li> </ul>	<ul style="list-style-type: none"> <li>• Manage operations with more extended SA.</li> <li>• Identify gaps as leadership must be educated on capabilities/ organization of other entities.</li> <li>• Communicates vertically and peer-to-peer and translates implications internally.</li> </ul>	<ul style="list-style-type: none"> <li>• Delegation of decision rights supported by technology/infrastructure.</li> <li>• Leadership adapts decision processes based on situation.</li> <li>• Leaders foster interaction between the partners</li> </ul>
<b>Personnel</b>	<ul style="list-style-type: none"> <li>• Force recruited and trained to military capabilities for deconfliction.</li> <li>• Commanders and high level staffs trained in cultural and language skills.</li> </ul>	<ul style="list-style-type: none"> <li>• Cultural / language skills required for bridging diversity of combined organizations.</li> </ul>	<ul style="list-style-type: none"> <li>• Integrated staff requires multidisciplinary knowledge.</li> </ul>
<b>Facilities</b>	<ul style="list-style-type: none"> <li>• Component oriented facilities.</li> <li>• Support current state of dedicated functionality.</li> </ul>	<ul style="list-style-type: none"> <li>• Common command center tailored to the mission with a smaller deployed force (HQ).</li> </ul>	<ul style="list-style-type: none"> <li>• Mutually shared and distributed facilities support ad hoc mission tailored organizations.</li> <li>• Facilities provide inter-service support and sustainment.</li> </ul>
<b>Interoperability</b>	<ul style="list-style-type: none"> <li>• LNOs operate to avoid conflicts.</li> <li>• Technical interoperability (if present) is not architected.</li> <li>• Operational interoperability through stovepipes which are limited to functional areas.</li> <li>• Vertical operational and technical interoperability within components.</li> </ul>	<ul style="list-style-type: none"> <li>• LNOs support operational interoperability.</li> <li>• Architected interoperability achieved by implementing STANAGS</li> <li>• Implemented Interoperability solutions allow improved information sharing.</li> <li>• Vertical operational and technical interoperability within components and horizontal coordination for planning.</li> </ul>	<ul style="list-style-type: none"> <li>• Integral staff supports operational interoperability.</li> <li>• Federated network enables technical interoperability.</li> <li>• Decision rights enable direct interactions between organizational entities.</li> <li>• Semantic interoperability supports shared understanding.</li> </ul>

# Knowledge needed in NEC era (4)

**"NML 4 – Collaborate.** This level of maturity is characterized by continued transformational improvements especially in situational awareness and interoperability and adaptive planning and execution. Entities at this maturity level demonstrate collective development and execution of a shared common plan that establishes interdependent relationships. Joint situational awareness is greatly improved as multiple independent sensors at all levels are integrated into a joint COP. A common unified infrastructure based on a single network will allow the seamless sharing of data and facilitate large scale advanced horizontal and vertical interactive collaboration for planning and execution. Major organizational and process changes are evident in this level of maturity because of greatly enhanced information sharing and rich and continuous interactions between entities allowing vertical synchronization through collaboration and planning and horizontal synchronization through shared situational awareness and understanding of intent. A force at this level of maturity can readily adapt to any mission and rapidly plan and synchronize execution of a common intent. Technically, a force at NML 4 uses advanced semantic interoperability as well as integrated registry and discovery services and all user services are accessible through generic portals or workspaces. In general, a force at this level of maturity has completed many aspects of the transformation to a net enabled capability."

# Knowledge needed in NEC era (5)

Refine existing CICS subjects:

- Project management (PM)
- C2 systems (CVS)
- Military Data Systems (MDS)
- Sensor systems & Data Fusion (SDF)
- Net-centric Experience & Theory (NET)
- Information Security (IBV)
- Information Operations (IOP)
- Data Base Systems (DBS)

# Knowledge needed in NEC era (6)

Add new CICS subjects:

- Cross-cultural competences
- Human factors & Human-Computer Interface
- Information sharing & collaboration
- Portals & workspaces
- Organizational agility & adaptability

# Conclusions

## Conclusions:

- Described benchmarking NLDA's CICS
- Against doctrinal needs for NEC era
- In form of NATO NEC Maturity Levels:  
NML 4 required in 2016

## Recommendations:

- Refine 8 subjects
- Add 5 new subjects
- Related further research (see paper)

# Any questions?