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Title: **Managing Areas of Interest in Command
and Control Information Systems**

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Managing Areas of Interest in Command and Control Information Systems

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Abstract

A command and control information system (C2IS) has to provide mechanisms to filter the information available in the C2IS to the mission-specific parts that are relevant to the corresponding military commander or other C2IS user. Areas of interest (AOI) are geographic areas like the surrounding of the user's position and his area of responsibility where the user wants to get informed about other military objects, e.g., own and foreign forces, that are within that specific area.

The simple form of AOIs can be extended by 1) taking the impact of other objects on us into account by using their effective range, and 2) incorporating future positions as described by plans and orders. For the first extension we can use object properties like their speed, their direction of movement or the range of the object or their weapons to determine a distance from within they can be a potential threat (in case of red forces) or supporter (in case of blue forces). For the second extension we can use machine-readable information about plans and orders to predict our own (planned) positions in the future as well as those of other forces. Examples include a patrol or helicopter crew that can thus include blue forces and potential enemy threads along their (planned) route into their operational picture.

To efficiently observe such areas, i.e. to compute the AOI within a C2IS, we introduce the concept of region services. These services contain all objects of a fixed geographic region. Regions can be defined in a regular manner, hierarchically based on quad-tree or by using areas of responsibilities as a basis.

A user-specific C2IS instance can now directly and efficiently establish subscription-relations to the relevant objects around its AOI in order to obtain information about the position, status and behaviour of these objects. If objects including the current user itself now dynamically change their position we merely have to update the information relations to those few objects that enter or leave a region within the AOI, instead of having to consider all objects within the global information Grid.

Region services thus do not only improve the efficiency for generating a static common operational picture (COP) but can also handle any dynamic changes of object positions.

1. Introduction

The paradigm of network-centric warfare (NCW) [1], [15], [24] is a powerful concept to employ the opportunities of modern IT systems. Its aim is to improve military effectiveness by achieving information superiority [6]. This improves mission effectiveness and dominating the battlespace [12], because it allows deploying military power more rapidly and effectively.

For example, in the execution of air-strike missions in Afghanistan during Operation Enduring Freedom (OEF), the time gained from using NCW capabilities was used for contingency planning. The enhanced situational awareness thus allowed the staff officers “to do more tactical and strategic thinking” ([15], page 6).

The global information Grid (GIG) [12] forms the technical basis for realizing the power of the network-centric warfare concept. It is based on the global connection of all military systems, ranging from sensors (e.g., reconnaissance systems) over the command and control systems to actors (effect systems) (cf. Figure 1).

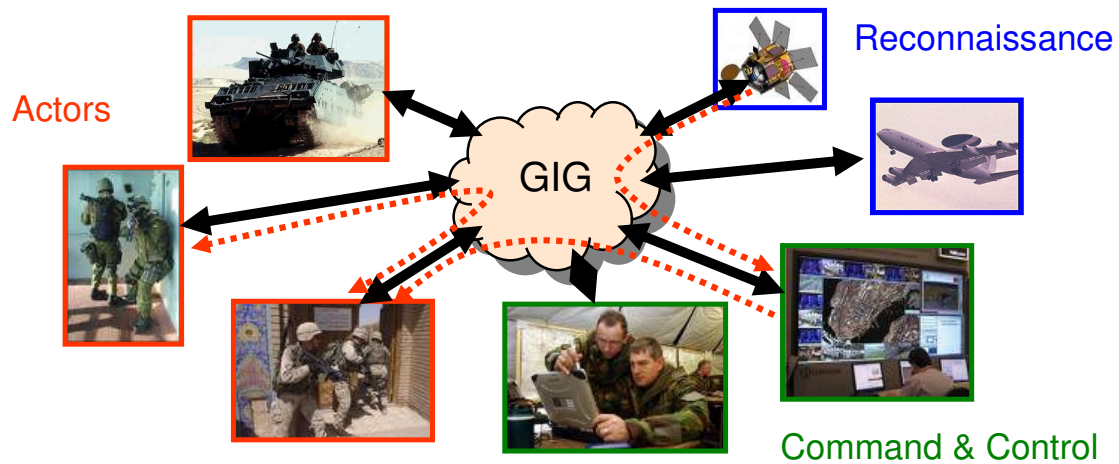


Figure 1 - Combining sensors, actors (effect systems) and command and control systems to form a global information Grid (GIG)

In principle, every system or person may be able to communicate with everybody else in the network. In the information grid, all available information can thus be shared among the different users, provided that the required security criteria (like, e.g., [9] or [10]) are satisfied. As a result, potentially all the available data about own and enemy troops as well as other information becomes available in the GIG.

Sharing all available information is, however, not sufficient for successfully accomplishing a mission. From the information point of view, using *all* available data leads to an explosion of the information space, which cannot be handled appropriately by humans and computers. To handle the problem of information overload [8] we have to restrict the data in an operational picture to only that information that is relevant for the corresponding user and his current mission [7], [13], [20] (Figure 2).

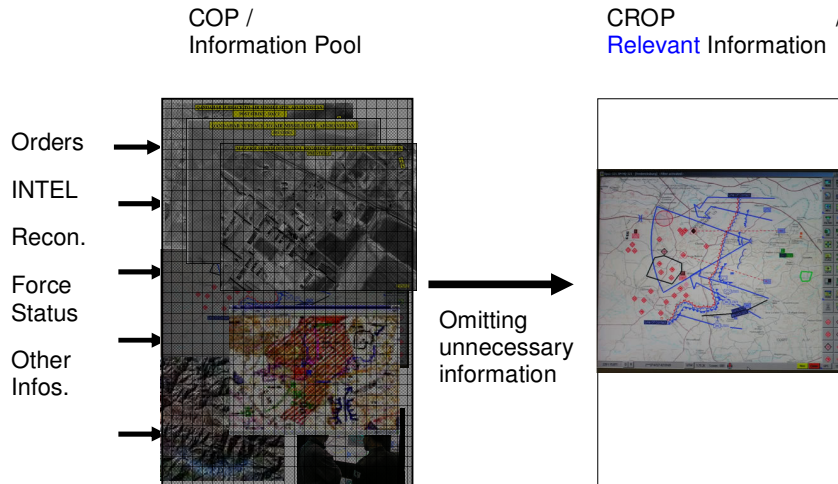


Figure 2 – Restricting the available information to only mission-relevant for the corresponding user

A command and control information system (C2IS) therefore has to provide corresponding filtering mechanisms. *Areas of interest* (AOI) are one such concept.

The remainder of this paper is organized as follows. In Section 2 we describe how geographically based areas of interest can be defined, while in Section 3 we extend the simple form of AOI by 1) taking the impact of other objects on ourselves into account by using their effective range, and 2) incorporating future positions as described by plans and orders. The computation of areas of interest in a command and control information systems (C2IS) is discussed in Section 4. An efficient algorithm based on the concept of region services is described in Section 5. Finally, Section 6 concludes.

2. Areas of Interest

An *area of interest* (AOI) is the area of concern to the commander. While in its general form this can include information categories like, e.g., data about current terrorist activities or information about political or economic situation, we concentrate on geographically based areas here.

The area of interest to a commander or another user of a C2IS will generally include the area of responsibility (AOR) of the corresponding military unit, i.e. the geographical area where the unit is supposed to conduct its operations. Moreover, the AOI will normally also include some surrounding area of the AOR to monitor the behaviour of neighbouring forces as well as enemy forces who could influence the successful completion of the users mission.

In a C2IS the AOI of a user is thus defined as an area like the surrounding of his position or the surrounding of his area of responsibility which he wants to observe. That means that he wants to get informed about other objects that are within that specific area. This is feasible since most of the data presented in a common operational picture (COP) by a C2IS have some spatial reference. This includes positions of blue and red forces, spatial data like information about streets or bridges, and others.

The shape of an AOI can be a simple circular or rectangular surrounding of the current position of the corresponding user. In this case all objects within a specific proximity of that base object are contained with the AOI and are thus to be visualized as the users operational picture. Figure 3 shows a simple example how objects outside the AOI are filtered out.



Figure 3 – Filtering all objects within a certain AOI

By combining such simple shapes we can achieve more complex shapes of the AOI, similar to the data field model (cf., e.g., [18]). Additionally we could use spatial data of streets, rivers and others to describe borders of AOR and AOI, respectively.

To simplify the presentation we abstract from the exact shape of the AOI for the remainder of this paper. We only require that we are able to check if a certain position is inside a given shape. This assumption can obviously be satisfied very easily within a C2IS. Moreover, we also abstract from the exact kind of objects stored in the C2IS, be they blue-force units, red-force units, or others. We only need some attribute values about their position and status (see Section 3.1 below), but do not distinguish otherwise between own or enemy forces here.

In Figure 4 we show an abstracted, simplified view of the AOI to filter relevant objects in an operation picture. Such an abstract view is sufficient to demonstrate the algorithms to compute the AOI, while in a real C2IS we obviously would visualize the objects according to their corresponding military symbols (cf., e.g., [11]) over appropriate maps.

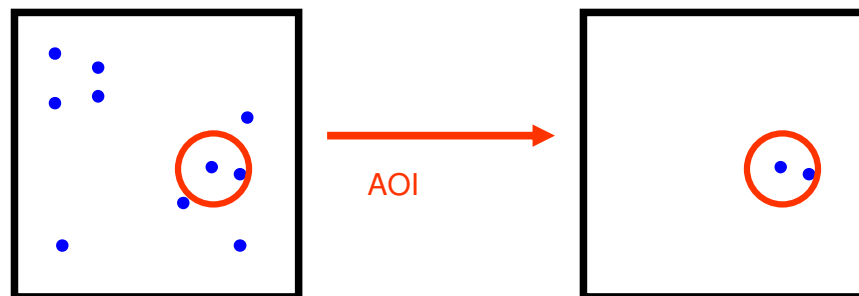


Figure 4 – Filtering of objects within a certain AIO (Abstracted, simplified visualisation of objects as blue dots and AOI as a red circle around an object.)

3. Extended Definition of AOIs

The simple form of AOIs defined above in Section 2 can be extended based on two basic principles: First the potential impact of objects on us on their effect range and second their potential impact in the future based on planned activities.

3.1. Incorporating the Effect Range

For the first extension we can use object properties like their speed, their direction of movement or the range of the object or their weapons to determine a distance from within they can be a potential threat (in case of red forces) or supporter (in case of blue forces).

Mobilized artillery units, for instance, have a much wider range than a non-motorized sniper in an urban environment. These object-specific effect ranges can then be used as a basis to define our area of interest.

In Figure 5, for instance, we filtered all objects whose effect range (simplified visualized as green circles) does not intersect with the AOI. Thus only those two objects located directly within the AOI itself (cf. also Figure 4) and the object in the lower left part of the figure remain relevant.

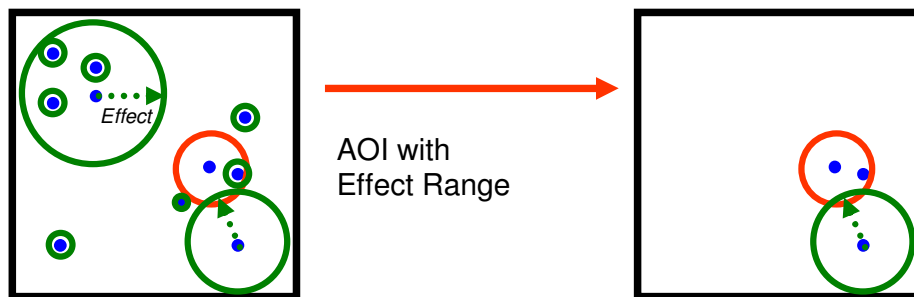


Figure 5 – AOI with effect range of objects taken into account

3.2. Incorporating the Future

For the second extension we can use machine-readable information about plans and orders to predict our own (planned) positions in the future as well as those of other forces.

A patrol or helicopter crew, e.g., can thus include blue forces and potential enemy threads along their (planned) patrol route or flight path into their operational picture (cf., e.g., Figure 2 in [16]). Here the user may be interested in the current and/or predicted objects along his route (Figure 6).

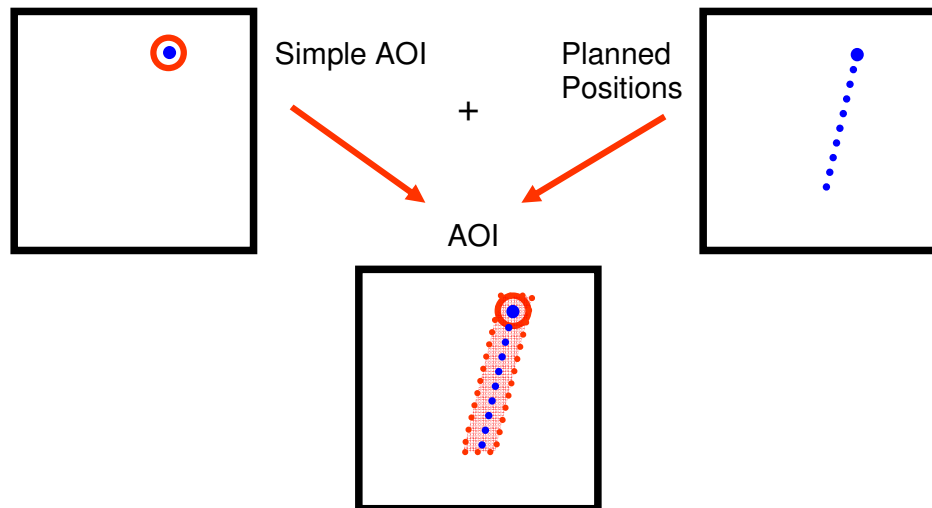


Figure 6 – An area of interest (see the red area) described by a simple AOI and planned positions (see the blue dots). Objects within the AOI except that of the user are not shown here.

4. Computing Areas of Interest

4.1. Service-Oriented C2IS Architecture

Such user-parameterized areas of interest as described before thus define a set of objects that the user is interested in, i.e. that are relevant for his operational picture. Before we derive how a C2IS can actually compute the AOI we first take a look into the architecture of C2IS.

A command and control information system provides, among others, the following basic functionality: It generates the operational picture for each user and visualizes it. Provided that the information is shared in a global information grid the C2IS can thus generate a common operational picture (COP) which helps to gain a shared situational awareness.

In a service-oriented architecture a C2IS thus contains of COP- and visualization services.¹ Each of them usually has user-specific instances:

- The user-specific visualization service merely displays the content of its corresponding COP-service.
- The user-specific COP-service stores the data about the user itself and its current status like its position. This information can be delivered to other COP-services using a publish-subscribe-approach. Subscription-relations to the COP-services of those objects relevant for the current user deliver information about these objects. This implies that the user-specific COP-service can subscribe to the relevant objects to become informed about their position, status and future changes.

In case of multinational and other operations where different C2IS are used, we merely have to import the data like blue-force information from the other systems by using e.g., the MIP

¹ The Plato system, an experimental service-oriented network-based C2IS that is currently developed at FGAN-FKIE is built on such a design [23], [17], [22].

[19] data replication mechanisms, and then create COP-services for the imported, remote objects.

Note that such a service-oriented architecture allows the different services to be distributed onto different computers in the GIG which allows the C2IS to operation in a decentralized manner. The shared data of the global information space is thus not necessarily contained a central database but can but distributed within the GIG such that each (COP-)service only holds a local portion of that information.

4.2. *Simple Approaches and its Limitations*

However, before a COP-service can establish subscription-relations to the relevant objects, we first have to determine these relevant objects, i.e. we have to find out which objects are actually within our AOI.

In case that we were satisfied with an AOI containing only objects in our direct neighbourhood we might get the location information of these objects just for free. A special routing protocol for the radio communication in mobile ad-hoc networks (MANETs) additionally transmits GPS data [2]. Unfortunately this is a proprietary protocol, so it only works if all radio communication devices use this protocol which we cannot assume to be valid, especially in multinational operations. Moreover, this approach is restricted to the tactical level and the direct surrounding of ourselves. Objects that are located far more remote but are still relevant for our AOI, e.g. because of their large effect range, cannot be handled here. Thus in general we have to compute the AOI explicitly.

Let N be the total number of objects available within the GIG. If no further information is available, the AOI computation for a *single* user requires $O(N)$ time, since we have to check the position of all N objects.

Unfortunately, we are not the only user in the system. According to the NCW approach in principle all blue force units can do the same, i.e. they may have an associated COP-service that computes its own AOI. This implies that all objects may define their own local areas of interest for which they have to check the positions of all other objects in turn. Implemented naively, this would lead to an algorithm of quadratic time-complexity $O(N^2)$, while synchronized all-to-all algorithms can do this in $O(N \log N)$ time [4].

This is, however, still not satisfactory. The reason is that the above complexity only holds for a single AOI computation with static object positions. In practice, however, are the objects moving. This implies that some objects leave our AOI, while others may enter it. Subscription-relations to objects within our AOI only provide us with position updates of those objects that we are already monitoring. So we can determine if an object leaves the AOI, but we will not know if another objects moves towards us. Moreover, we may move ourselves, so the dynamic changes of object positions will soon make the AOI outdated.

As a consequence we had to re-compute the AOI in regular intervals in order update it and hence the CROP. This dynamic behaviour of the objects like blue-force units thus forces us to execute to above described algorithm over and over again. Since it is a global algorithm this not only takes the computation time but – in case of distributed services – also the time for the all-to-all communication scheme wasting a lot of bandwidth, especially if done on the tactical level.

5. Region Services

The reason why the above approach is so inefficient is that we repeatedly check the positions of all available objects within the GIG, while there are in practice only a few objects relevant for our area of interest, their number being in general much less than N . In the naïve implementation we therefore filter out objects according to their position from the large object set rather than just checking if there exist any objects with a specific position and combining these small sub-sets directly.

5.1. Concept

Approaches in multi-cast communication schemes [5], [6], [21] lead us to the idea of a *region service*. Such a service defines a certain geographical region of the world and contains a list of all objects that are located within this region.

Based on a C2IS software architecture consisting of COP- and visualization services, we hence extend this by a set of region services, each of them being responsible for a certain region. In its simplest form we can divide the earth (or at least our full operational area) into regions of the same size.

Figure 7-(a) shows the division of an area into regular regions with the same size each. In such a regular division the borders of each region can be computed very easily and the test of a certain position falls within a specific region can be done very efficiently.

Each of the spatial objects can thus be added to one of the region services in constant time. To detect the objects within the area of interest (AOI) for a user, we now only have to request the objects from those region services that overlap with the user's AOI. This takes $O(N/R)$ time on average for each region service, with R being their number.

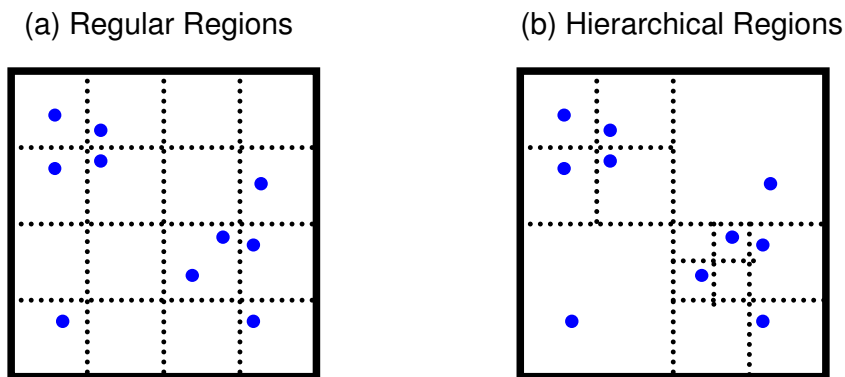


Figure 7 – Division of an area into (a) regular regions with the same size each, and (b) hierarchically defined regions based on a quad-tree division with different sizes but containing the same number of objects

Unfortunately, the objects in a military domain are in general not regularly distributed around the world but concentrated on the battlefields. We can hence expect many units being located in, e.g., Kabul or Iraq, but probably almost none in, e.g., the Antarctic. An adaptive approach to the definition of regions takes the actual distribution of objects into account. Organizing region areas as a quad-tree (in the case of two-dimensional coordinates) allows region services to be defined in such a way that they all contain (almost) the same number K of objects. This leads to a $O(K)$ constant time algorithm for retrieving the objects within an AOI, pro-

vided the size of the AOI is small compared to the whole battlefield, i.e. it covers only a fixed number of known regions. However, the check with region a certain position belongs to requires $O(\log(N/K))$ time in this case, so dynamic object movements are more expensive to be handle-

Another distribution of the regions can be defined by using the areas of responsibility for the different units. Potentially we have to add some additional regions to handle areas not covered by any AOR. Such regions have the advantage of being adapted to the actual distribution of units on the ground, with a natural hierarchy based on the chain of command. While they are close to actual military regions, their computation is generally rather difficult, since their borders are not regular but may use any complex curve following e.g. the form of rivers. Since the region services are not directly visibly to the user of the C2IS but only used internally to compute the AOI, the other two forms of regions (regular and hierarchical) are probably preferable.

The concept of region services not only improves the efficiency for generating a static COP but can also handle dynamic changes of object positions. In general all objects – except for those installed at a fixed place – can and do move. This means that the objects within and near the AOI of a user may change their positions and hence may leave or enter the AOI dynamically. However, not only the surrounding objects but also the user itself may move. Therefore a static computation of the AOI (possibly extended by a small surrounding of the AOI) does not work. Instead we have to compute and update the AOI dynamically. If an object is located in the area of one of the region service that overlaps with our AOI, we can subscribe to that object to be informed about changes of its position and other attribute values. If that object finally leaves the area of the region service and is thus out of our AOI, we can cancel the subscription of that object. If, on the other hand, an object changes its position such that it is entering the area of one of the region services that overlap with our AOI, we can immediately subscribe to it. We therefore only have to check for a limited amount of objects if they are within our AOI.

Note that a region service should in average contain not only one but multiple objects, because this shall give the best trade-off between the time required to manage the objects within the region service and their potential dynamic movements from one region to another region on one hand, and the time to determine the region service(s), i.e. to check where a certain object belongs to, on the other hand.

5.2. AOI Computation using Region Services

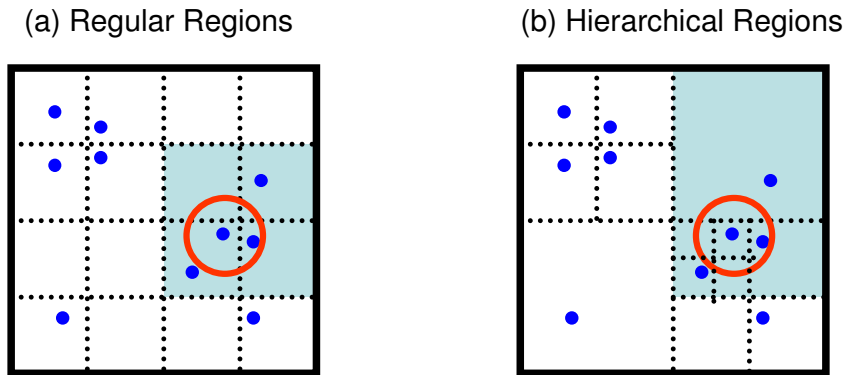


Figure 8 – Computing the area of interest using region services: (a) in case of regular regions, (b) for hierarchical regions

The actual algorithm for the computing areas of interest with the support of the region services works as follows (see also Figure 8):

1. First all objects have to register themselves at their corresponding region services, i.e. they publish themselves.

In case of regular regions this takes constant time for each object and can be done in parallel for each region service, while in case of hierarchical regions it takes $O(\log R)$ time for each object to find its proper region, and since adding new objects may change the region hierarchy this has to be done sequentially.

Once all the objects are registered at their corresponding region service, their COP-service automatically de-registers them if they leave the area of one region and registers the object at the new region service. These dynamic updates may happen in parallel to the AOI computation. Provided each object is registered at least with one region service at all times, this does not matter. We thus only have to ensure that an object is registered at the new region service before it de-registers itself in the old region.

The re-registration of moving objects could also be done by the region service rather than by the object itself. This required the region services to establish a subscription relation to the objects located within their region in order to get informed if they left the region. In this case the region service had to register the object at the new region service before de-registering it locally. However, here we had to transfer all position changes of objects to the region service in order to check if they have left or not, this leads to a lot of unnecessary communication. It is therefore better to operate as described above and do the check on the side of the objects themselves, as this smart push approach [13] is more efficient.

2. To compute the AOI of one user, we have to determine the relevant region services. This includes all those regions that intersect with the shape of the AOI.
3. Read all objects from the relevant region services determined in phase 2 before. We thus get a list of object identifiers, or links to their corresponding COP-services. In order to get informed if that object set changes we have to create a subscription-relation to the region-service.
4. Read further information, especially the location, of these objects. This can be done by creating subscription-relations to the corresponding COP-services, which also informs us about later changes of their values and positions.
5. Finally check which of the objects actually belong to the AOI and filter out the other ones.

Since from phase 3 we get only objects in the direct neighbourhood of the AOI, there are generally are much less objects to be filtered out than had been in the simple algorithm of Section 4. Note that if one of the objects received in phase 3 changes its position we have to check again if it has move into or out of the AOI.

The different phases of the AOI computation algorithm are shown in Figure 9.

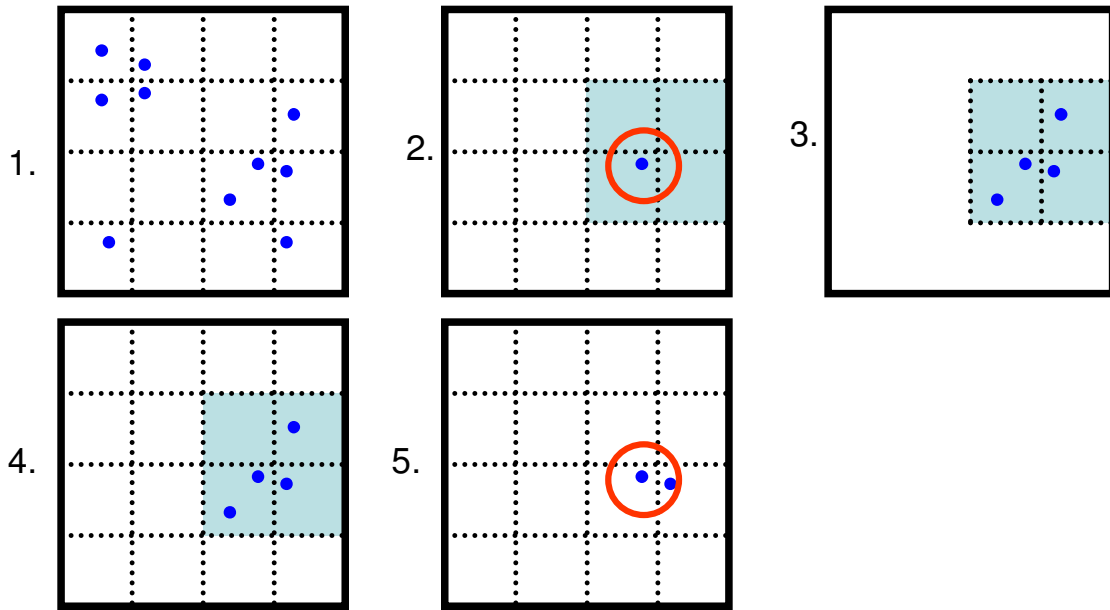


Figure 9 Different phases of the algorithm to compute AOI based on region services with regular regions

5.3. AOI Computation using Region Services with Effect Range

The computation of areas of interest with effect ranges (see Figure 10) is merely a small extension (in phases 2, 5 and 7, respectively) of the above base AOI computation algorithm.

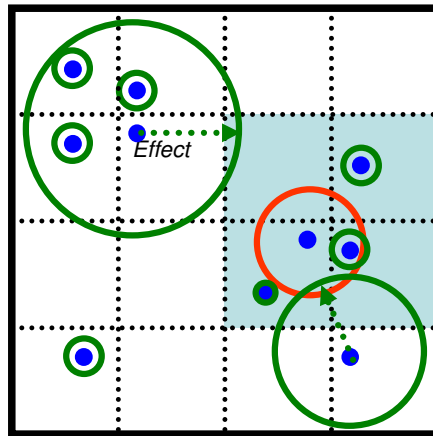


Figure 10 - Computing the area of interest with effect ranges using region services for regular regions

Its different phases (see Figure 11) are as follows:

1. First register all objects at their corresponding region services as done before.
2. Then all objects additionally have to register at all those regions that they have an effect. Note that in this case one object may be registered at multiple region services.
3. Determine the relevant regions for the AOI as before.

4. Read all objects from those region services. In addition to the objects located within the corresponding region here we also get objects that might have an effect within that region.
5. Since effect objects may be in multiple region services, we have to merge the object sets from different regions.
6. Subscribe to the corresponding objects and thus read their positions.
7. Finally filter out objects if neither their position nor their effect range intersects with the shape of the AOI. The other objects are then part of the AOI and to be displayed.

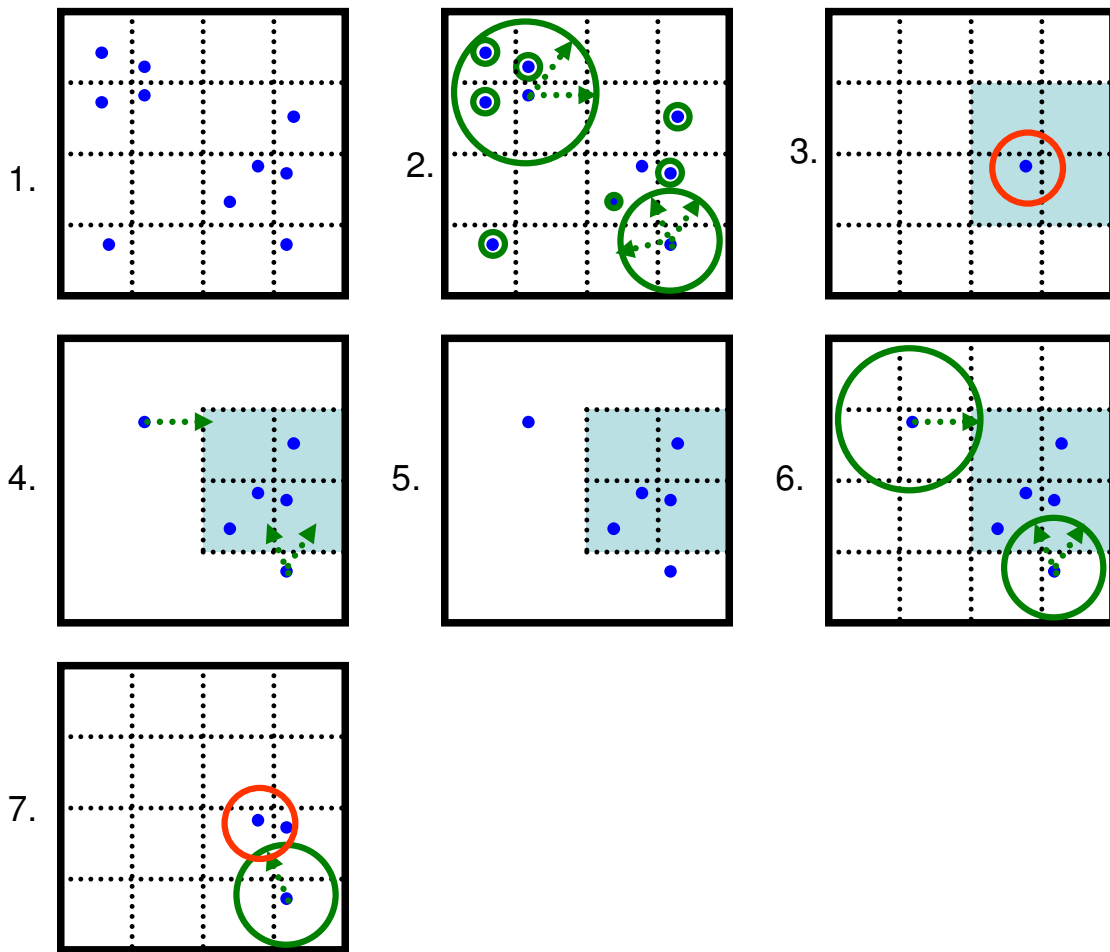


Figure 11 - Different phases of the algorithm to compute AOI with effect ranges based on region services with regular regions

The AOI computation based on hierarchical regions works analogously as in Figure 11.

6. Conclusion

A command and control information system (C2IS) has to provide mechanisms to filter the information available in the C2IS to the mission-specific parts that are relevant to the corresponding military commander or other C2IS user. *Areas of interest* (AOI) are geographic areas like the surrounding of the user's position and his area of responsibility where the user wants to get informed about other military objects, e.g., own and foreign forces, that are within that specific area.

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Region services thus do not only improve the efficiency for generating a static common operational picture (COP) but can also handle any dynamic changes of object positions.

We are currently about to implement the AOI information filtering and their efficient computation using region services within the Plato system, an experimental service-oriented network-based C2IS [23], [17], [22].

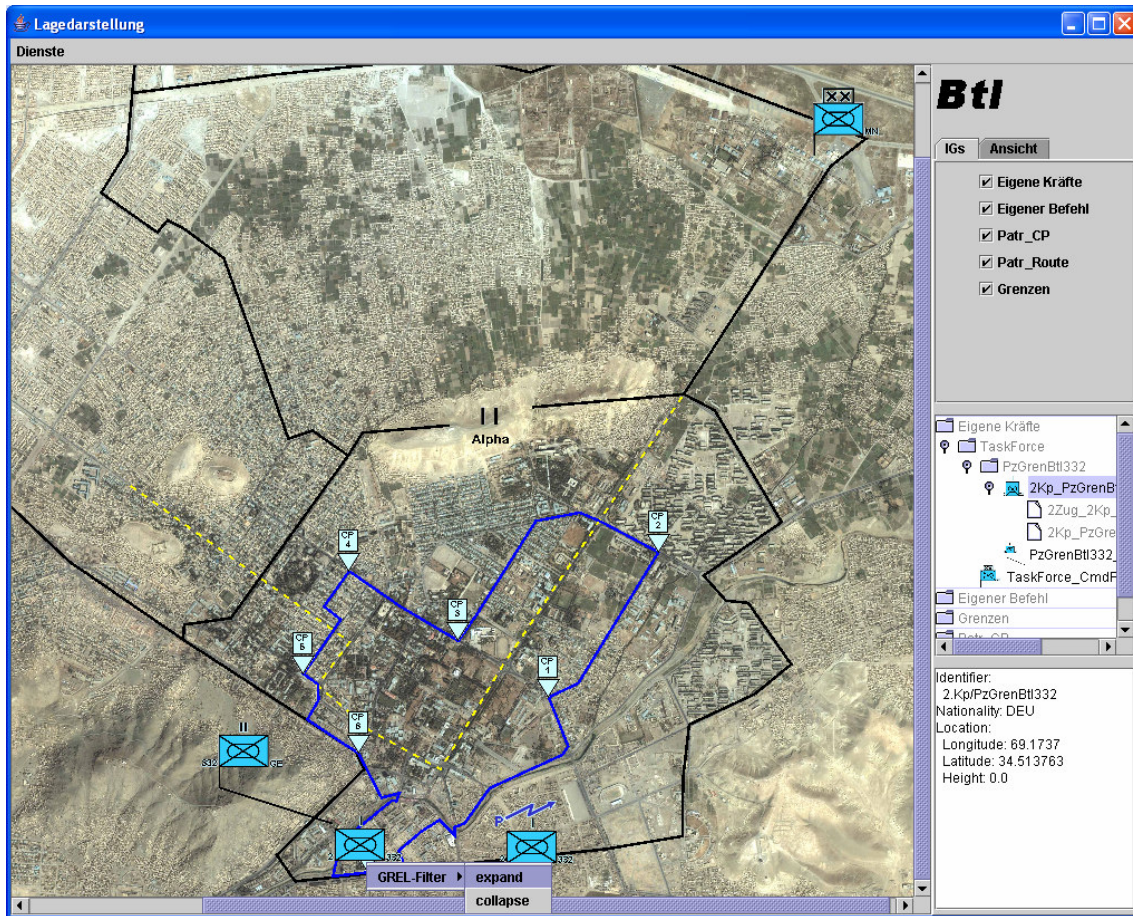


Figure 12 – Experimental service-oriented network-based C2IS

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